

CURRICULUM VITAE

Jeffrey W. Swanson

September 26, 2017

Present Positions: Professor in Psychiatry & Behavioral Sciences, with tenure
Duke University School of Medicine

Associate Director, Division of Social and Community Psychiatry
Duke University School of Medicine

Adjunct Professor, Department of Health Care Policy and Management
Gillings Global School of Public Health
University of North Carolina at Chapel Hill

Co-Director, UNC-CH/ Duke Postdoctoral Training Program in Mental Health
and Substance Abuse Services and Systems Research

Research Scientist, Mental Illness Research, Education, and Clinical Center
(MIRECC), Veterans Affairs Medical Center, Durham, North Carolina

Co-Director, National Resource Center on Psychiatric Advance Directives

Work Address: Department of Psychiatry and Behavioral Sciences
Duke University Medical Center, Box 3071
Brightleaf Square, Suite 23-A
Durham, North Carolina 27710
Phone: (919) 682-4827 Fax: (919) 682-1907
E-mail: jeffrey.swanson@duke.edu

Education: Westmont College, Santa Barbara, California
B.A. 1979, *summa cum laude* (sociology)

1975-1979 Yale University, New Haven, Connecticut
M.A., 1980

1979-1985 M.Phil., 1982
Ph.D., 1985 (medical sociology)

Postdoctoral Fellowship:

1991-1993 National Research Service Award Postdoctoral Fellowship in Mental Health
Services and Systems Research (National Institute of Mental Health)

- University of North Carolina at Chapel Hill, Cecil G. Sheps Center for Health Services Research and
- Duke University Medical Center, Department of Psychiatry and Behavioral Sciences

Academic Specialty Areas:

medical sociology, psychiatric epidemiology, mental health services research, mental health law and policy studies, public health law research

Key Areas and Topics of Research:

- ❑ Epidemiology of serious mental illnesses and substance use disorders in adult community-based populations
- ❑ Violent behavior and mental illness in social-environmental context
- ❑ Public health law approaches to reducing firearm violence and suicide
- ❑ Legal leverage in community treatment for adults with severe mental illness
- ❑ Psychiatric advance directives for adults with serious mental illnesses
- ❑ Effectiveness of involuntary outpatient commitment
- ❑ Mental illness and criminal justice policy
- ❑ Discrimination, law, and policy affecting persons with psychiatric disabilities
- ❑ Medical futility, physicians' legal defensiveness, and end-of-life treatment decisions

Professional and Academic Career*University Academic Appointments and Affiliations*

Teaching Fellow, Department of Sociology, Yale University, 1981-1982

Assistant Professor, Department of Psychiatry & Behavioral Sciences, University of Texas Medical Branch at Galveston, 1987-1991

Affiliate Member, Institute for the Medical Humanities, Graduate School of Biomedical Sciences, University of Texas Medical Branch at Galveston, 1990-1991

Medical Center Instructor to Associate Professor with tenure, Department of Psychiatry & Behavioral Sciences, Duke University School of Medicine, 1991-2007

Professor in Psychiatry & Behavioral Sciences with tenure, Duke University School of Medicine 2007 – present

Adjunct Professor, Department of Health Care Policy and Management, Gillings Global School of Public Health, University of North Carolina at Chapel Hill, 2011 to present

Faculty Fellow, Center for Child and Family Policy, Social Science Research Institute (SSRI) and Sanford School of Public Policy, Duke University, 2010 to present

Research Appointments and Affiliations

Center Scholar, Center for Cross-Cultural Research, University of Texas Medical Branch at Galveston, 1988-1991

Research Fellow, Cecil G. Sheps Center for Health Services Research, University of North Carolina at Chapel Hill, 1994-present

Research Scientist, Epidemiologic Research & Information Center, Veterans Affairs Medical Center, Durham, North Carolina, 1999-2002

Research Scientist, Mental Illness Research, Education, and Clinical Center (MIRECC), Health Services Research and Development Program, Veterans Affairs Medical Center, Durham, North Carolina, 2013 to present.

Other Professional Positions and Visiting Appointments

Executive Committee Member, Consortium for Risk-Based Firearm Policy, 2013-present

Associate Director, UNC-CH/Duke Postdoctoral Training Program in Mental Health Services and Systems Research, 1993 to present

Member, John D. and Catherine T. MacArthur Foundation Research Network on Mandated Community Treatment, 2002-2011

Methods Core Member and Key Consultant, Robert Wood Johnson Foundation Program on Public Health Law Research, 2009-2015

Associate Editor, *Administration & Policy in Mental Health and Mental Health Services Research*, 2007-2010

Co-Director and Executive Committee Member, National Resource Center on Psychiatric Advance Directives (www.nrc-pad.org), 2006-present

Visiting Professor, Forensic Mental Health Science Department, Institute of Psychiatry, Kings College London, October-November, 2005

Consulting

Office of Multicultural Services, Texas Department of Mental Health and Mental Retardation, 1989. Improving access and effectiveness of mental health services for ethnic minority populations in Texas

Committee on Opportunities in Drug Abuse Research, Institute of Medicine, National Academy of Sciences, 1993 (Richard J. Bonnie, Chair). Report on the state of research on violence associated with mental illness and substance abuse comorbidity.

National Institute of Mental Health, Violence and Traumatic Stress Branch, 1993. Developing new research initiatives on interventions with violent mentally ill persons in the community.

John D. and Catherine T. MacArthur Foundation Research Network on Mental Health and the Law, 1992-1993. Developing studies of violence and psychiatric disorder; developing studies of coercion in mental health treatment.

New York City Department of Mental Health, Mental Retardation and Alcoholism Services, Contract with Policy Research Associates, Inc., 1995-1997. Evaluating a program of involuntary outpatient commitment at Bellevue Hospital

ADA Insights, Cecil. G. Sheps Center for Health Services Research, University of North Carolina at Chapel Hill, 2000-2005. A program of research evaluating the impact of the Americans with Disabilities Act.

Pennsylvania Bar Association, implementing Pennsylvania HB2036, Mental Health Care Power of Attorney and Mental Health Declaration, 2005.

New Mexico Department of Health & Human Services and New Mexico Health Policy Commission, 2005. Consultation regarding draft legislation, SB0749, Mental Health Advance Directive.

Virginia Commission on Mental Health Law Reform, 2007. Consultation regarding draft legislation for outpatient commitment and psychiatric advance directives.

Comprehensive NeuroScience (CNS), 2007. Evaluating data systems systems for managing states' Medicaid pharmacy costs for behavioral health.

Presbyterian Healthcare Services, New Mexico, 2007-2008. Expert consultation in the John Hyde matter.

Rodey, Dickason, Sloan, Akin & Robb, Attorneys at Law, Albuquerque, NM, 2007-2008. Expert consultation.

Gregg Vance Fallick, Attorney at Law, 2007-2008. Expert consultation.

Novartis Pharmaceutical Corporation, 2011. Expert consultation regarding psychiatric advance directive toolkits.

Task Force on Firearms Rights Restoration in Virginia, University of Virginia Frank Batten School of Leadership and Public Policy, 2011-2012. Expert consultation and policy analysis.

Executive Committee, Implementation of Virginia's Health Care Decisions Act, 2010-2012.

Consortium for Risk-Based Firearms Policy, 2013-present

Professional Recognition: Recent Awards and Honors

- 2016 Raymond W. Waggoner Lecture on Ethics & Values in Medicine, University of Michigan, Ann Arbor
- 2016 Paul Mendelsohn, M.D., Memorial Lecture in Psychiatry and the Law. Tufts School of Medicine and Tufts Medical Center, Boston
- 2016 Sherwood B. Winslow, MD, Distinguished Lecture, Battle Creek Community Foundation, Battle Creek, Michigan
- 2016 L. Douglas Lenkoski, MD, Lecture in Community Psychiatry, Case Western Reserve University
- 2015 P. Browning Hoffman Memorial Lecture in Law and Psychiatry, University of Virginia School of Law
- 2013 recipient NARSAD Distinguished Investigator Grant from Brain and Behavior Foundation
- 2011 recipient Carl Taube Award for distinguished career contributions to mental health services research, from the American Public Health Association, Mental Health Section.
- 2010 recipient Eugene C. Hargrove, MD Award for Outstanding Contributions to Mental Health Research, from the North Carolina Psychiatric Foundation.

Recent national and international television appearances

- MSNBC Live with Kate Snow: "Majority of gun deaths in US are suicides," January 6, 2016
- PBS News Hour with Judy Woodruff: "Why the U.S. has done almost nothing to stop mass shootings," October 3, 2015
- CBC [Canada] The National with Ian Hanomansing: "Violence and mental illness," August 26, 2015
- NBC News with Steve Handelsman: "Mass shootings," July 27, 2015
- CNN Tonight with Don Lemon: "Who commits mass shootings?" June 23, 2015
- CNN EN ESPAÑOL, "Propensión uso violento de armas," April 13, 2015
- Bloomberg TV News *In the Loop* with Betty Liu and Cory Johnson: "Who shouldn't own a gun?" December 11, 2013

Selected Lectures and Presentations

- Symposium panelist at national conference: "Policy innovation at the intersection of mental illness, dangerousness, and firearms: The Gun Violence Restraining Order." Society for Advancement of Violence and Injury Research Annual Conference. September 19, 2017, Ann Arbor, Michigan
- Invited panelist for public forum event: "Guns, public health & mental illness: New approaches to reducing gun violence in Michigan through evidence-based policy," Michigan Coalition to Prevent Gun Violence, September 18, 2017, Lansing, Michigan
- Plenary panelist at regional conference: "Fact v. fiction: The intersection of guns and mental illness." Northstar Public Health Conference on Gun Violence, Minneapolis, MN, September 13, 2017.
- Invited lecture at international expert conference: "Mental illness and interpersonal violence: USA perspective on identification and prevention." Stockholm, Sweden, August 22, 2017
- Keynote lecture at international conference: "Gun violence, mental illness, and policing: Balancing risk and rights for effective policy and practice." CIT International Conference, Ft. Lauderdale, FL, August 18, 2017.
- Keynote lecture at international conference: "Mental illness, gun violence, and the law: USA public health perspective with lessons for other countries," International Association of Forensic Mental Health Services, Split, Croatia, June 13, 2017

- Invited guest lecture: "Gun violence, mental illness, and the law: Balancing risk and rights for effective policy." Washington and Lee University, Lexington, VA, May 5, 2017
- National conference panel presentation: "Reducing access to firearms for individuals at risk for suicide - research, clinical, and policy perspectives." American Association of Suicidology 50th Annual Conference, Phoenix, AZ, April 27, 2017
- Invited guest lecture: "Gun violence, mental illness, and the law: Balancing risk and rights for effective policy." Public Psychiatry Fellows Seminar, Columbia University Department of Psychiatry, New York, NY, April 6, 2017
- Keynote lecture, 2017 National Association of Social Workers-South Dakota Chapter Annual Conference: "Thinking differently about mental illness, gun violence, and the law: Balancing risk and rights for effective policy," Sioux Falls, South Dakota, March 30, 2017
- Invited lecture: "Involuntary outpatient commitment: Understanding the controversy and the evidence for effectiveness." 2017 National Association of Social Workers-South Dakota Chapter Annual Conference, Sioux Falls, South Dakota, March 30, 2017
- Invited lecture: "Involuntary outpatient commitment: the data and the controversy," Clinical Update and Psychopharmacology Review, Southern Regional Area Health Education Center (SR-AHEC), Raleigh, NC, March 2, 2017
- Invited lecture: "Guns and mental illness." Dart Center for Journalism and Trauma, Columbia Journalism School. Midwestern Reporting Institute: Covering Gun Violence. Chicago Public Media, Chicago, February 10, 2017.
- Invited panelist for public forum event: "Guns, public health & mental illness: New approaches to reducing gun violence in Nevada through evidence-based policy," Women's Grassroots Lobby Days Conference, Carson City, Nevada, March 19, 2017
- Presentation to the State of Connecticut Criminal Justice Policy Advisory Commission, "Implementation and outcomes of a preemptive risk-based gun removal law in Connecticut." Legislative Office Building, Hartford, CT, November 17, 2016.
- 21st Annual Raymond W. Waggoner Lecture on Ethics & Values in Medicine: "Gun violence, mental illness, and the law." University of Michigan, Ann Arbor, November 2, 2016
- Psychiatry grand rounds: "Involuntary outpatient commitment: When is it ethical and how can we tell?" University of Michigan, Ann Arbor, MI, November 2, 2016
- National conference presentation: "Connecticut gun removal law reduces suicides." American Public Health Association Annual Meeting, Denver, CO, Monday, October 31, 2016
- Keynote speech, US Psychiatric and Mental Health Congress: "Thinking carefully about gun violence, mental illness, and the law: Balancing risk and rights for effective policy." San Antonio, Texas, October 22, 2016
- Psychiatry grand rounds: "Involuntary outpatient commitment: When is it ethical and how can we tell?" Department of Psychiatry, Western Michigan University Homer Stryker, MD, School of Medicine, Kalamazoo, Michigan, October 19, 2016
- Special lecture, Program in Medical Ethics, Humanities, and Law: "Gun violence, mental illness, and the law." Western Michigan University Homer Stryker, MD, School of Medicine, Kalamazoo, Michigan, October 19, 2016
- Sherwood B. Winslow, MD, Distinguished Lecture: "Gun violence, mental illness, and the law." Battle Creek Community Foundation, Battle Creek, Michigan, October 18, 2016.
- Psychiatry grand rounds: "Thinking carefully about gun violence, mental illness, and the law: Balancing risk and rights for effective policy." Silver Hill Hospital, New Canaan, CT, October 5, 2016

- Distinguished Scientist lecture: "Thinking carefully about gun violence, mental illness, and the law: Balancing risk and rights for effective policy." University of Pittsburgh Department of Psychiatry, Pittsburgh, PA, September 30, 2016
- Regional professional meeting presentation: "Implementing psychiatric advance directives: Policy developments, research evidence, and clinical implications." ACT Coalition Meeting, Greensboro, NC, September 22, 2016
- Regional conference keynote lecture: "Thinking differently about mental illness, gun violence, and the law: Balancing risk and rights for effective policy." 2016 National Association of Social Workers-Nebraska Chapter Annual Conference, Omaha, Nebraska, September 16, 2016
- Regional conference presentation: "Involuntary outpatient commitment: Understanding the controversy and the evidence for effectiveness." 2016 National Association of Social Workers-Nebraska Chapter Annual Conference, Omaha, Nebraska, September 16, 2016
- Regional conference presentation: "Implementing psychiatric advance directives: Policy developments, research evidence, and clinical implications." 2016 National Association of Social Workers-Nebraska Chapter Annual Conference, Omaha, Nebraska, September 16, 2016
- Invited guest lecture: "Thinking differently about mental illness, gun violence, and the law: Balancing risk and rights for effective policy." Douglas L. Lenkoski, MD, Lecture, Department of Psychiatry, Case Western Reserve University, Cleveland, OH, July 29, 2016
- Psychiatry grand rounds: "Thinking carefully about gun violence, mental illness, and the law: Balancing risk and rights for effective policy." Akron General Hospital/ Northeast Ohio Medical School, Akron, OH, Jul 28, 2016
- Keynote speaker: "Preventing gun violence and suicide, preserving the right of self-defense: the search for common ground." Virginia Bar Association Summer Meeting, Hot Springs, Virginia, July 22, 2016
- Invited panelist: RTI Policy Forum: "Violence and mental illness." National Press Club, Washington, DC, May 26, 2016.
- Invited featured lecture: "Thinking differently about mental illness, gun violence, and the law: Balancing risk and rights for effective policy." *Frontiers in Science* Lecture, American Psychiatric Association Annual Convention, Georgia World Congress Center, Atlanta, May 14, 2016
- Invited speaker: "Thinking differently about risk-based gun removal laws." Second Generation of Second Amendment Law and Policy Symposium, New York University Law School, New York, NY, April 8, 2016
- Invited guest lecture: "Thinking differently about mental illness, gun violence, and the law: Balancing risk and rights for effective policy." Columbia University Public Psychiatry Seminar, New York, NY, April 7, 2016
- Invited featured plenary speaker: Ohio Psychiatric Physicians Association Annual Psychiatry Update: Innovations & Controversies 2016. Columbus, Ohio, March 13, 2016
- Invited guest lecture: "Thinking differently about mental illness, gun violence, and the law: Balancing risk and rights for effective policy." RWJF Scholars Seminar, University of Michigan School of Public Health, Thursday, March 10, 2016
- Invited panelist for public forum event: "Guns, public health & mental illness: New approaches to reducing gun violence in Delaware through evidence-based policy," Medical Society of Delaware, Newark, Delaware, March 7, 2016
- Invited panelist: "Gun Control: Past, Present and Future," Duke University School of Law, Durham, NC, March 3, 2016.

- Featured lecturer, 2016 Clinical Update and Psychopharmacology Review, Southern Regional Area Health Education Center: "Gun violence and mental illness: balancing risk and rights for effective policy." Raleigh, NC, March 2, 2016
- The Paul Mendelsohn, M.D., Memorial Symposium Lecture in Psychiatry and the Law: "Thinking differently about mental illness, gun violence, and the law: Balancing risk and rights for effective policy." Department of Psychiatry, Tufts School of Medicine and Tufts Medical Center, Boston, February 16, 2016
- Invited guest lecture: "Thinking differently about mental illness, gun violence, and the law: Balancing risk and rights for effective policy." Department of Psychiatry & Behavioral Sciences, The Johns Hopkins University School of Medicine, February 1, 2016
- Invited speaker, US Capitol press conference on funding for gun violence research; with Sens. Ed Markey, D-Mass., Richard Blumenthal, D-Conn., Chris Murphy, D-Conn., Charles Schumer, D-N.Y., Ron Wyden, D-Ore., and Ben Cardin, D-Md.; Sen. January 20, 2016
- Invited panelist for public forum event: "Guns, public health & mental illness: New approaches to reducing gun violence in New York through evidence-based policy," John Jay College of Criminal Justice, New York City, November 19, 2015
- Invited featured speaker for public forum event: "Mental health, guns, and violence," Sponsored by Departments of Criminology and Mental Health Law and Policy, with the Louis de la Parte Florida Mental Health Institute, University of South Florida, Tampa, FL, October 30, 2015
- Invited featured speaker at national conference: "Thinking carefully about involuntary outpatient commitment: On effectiveness, ethics, and exporting evidence," Joint meeting of the Mental Health Ethics and Policy affinity group and the Law and Bioethics affinity group of the American Society for Bioethics and Humanities. ASBH's 17th annual meeting, Houston, TX, October 23, 2015
- Invited featured speaker for public event: "Rethinking gun violence and mental illness." An Evening to Stop Gun Violence, Educational Fund to Stop Gun Violence, Carnegie Institution, Washington, DC, October 19, 2015
- Invited public guest lecture: "Gun violence and mental illness: evidence? Solutions?" The Medical Center Hour: Medicine and Society in Conversation. University of Virginia Center for Biomedical Ethics & Humanities, University of Virginia School of Medicine, Charlottesville, VA, October 7, 2015
- Invited endowed lecture: "Keeping guns from risky people while keeping faith with the second amendment," The 16th P. Browning Hoffman Memorial Lecture in Law and Psychiatry, University of Virginia School of Law, Charlottesville, Virginia, October 7, 2015
- Invited guest lecture: "Restricting Veterans' access to firearms: An analysis of the VA's policy and the Congressional response, Faculty Research Series, Frank Batten School of Leadership and Public Policy, University of Virginia, Charlottesville, October 8, 2015
- Invited panelist for public forum event: "New approaches to reducing gun violence in Minnesota," McNamara Alumni Center, University of Minnesota, July 29, 2015.
- Invited international conference debate: "Do community treatment orders work or do they not? How can we decide?" [Debate with Professor Tom Burns, University of Oxford], Royal College of Psychiatrists Annual Convention, Birmingham, England, July 2, 2015
- Invited international guest lecture: "An American public health perspective on gun violence and mental illness." University of Oxford Department of Psychiatry, Oxford, England, June 30, 2015

- National conference workshop: "Do firearms restrictions prevent suicide and violence in people with serious mental illness?" American Psychiatric Association Annual Convention, Toronto, May 20, 2015
- National conference presentation: "The VA's fiduciary gun restriction standard: Is it effective? Is it fair? A conversation between evidence and policy." 2nd Meeting of the Consortium for Risk-Based Firearms Policy. Duke University, Durham, NC, May 4, 2015
- National conference presentation: "Connecticut's 'risk warrant' gun seizure law." 2nd Meeting of the Consortium for Risk-Based Firearms Policy. Duke University, Durham, NC, May 4, 2015
- Keynote speaker for state annual conference: "Gun violence as a public health issue: What can clinicians do?" Washington State Council of Child and Adolescent Psychiatry (WSCCAP) and the Washington State Psychological Association, March 21, 2015
- Invited panelist for public forum event: "Reducing gun violence in New Mexico: Refocusing firearm policies away from mental illness and towards stronger indicators of risk of harm." University of New Mexico Health Sciences Center, March 10, 2015
- Invited guest lecture: "Gun violence and mental health." The Duke Forum for Law and Social Change Symposium: Mental Health and the Law. Duke University School of Law, February 20, 2015
- Invited panelist for web community dialogue: "After the shooting: Guns and mental health." With Imam Abdulla Antepli, February 19, 2005 <http://aftertheshooting.com/#>
- Invited guest lecture: "Sociological reflections the study of gun violence and mental illness." Department of Sociology, Emory University, Atlanta, GA, February 16, 2015
- Invited guest lecture: "A public health perspective on gun violence and mental illness." Temple Law School, February 5, 2015
- Invited presentation to the Board of Directors, The Fund for a Safer Future: "Gun violence prevention research: Update and priorities." Washington, DC, February 3, 2015
- National webinar: "Civil commitment laws and population health." With Professors Paul Appelbaum, Richard Bonnie, and John Monahan. The Network for Public Health Law. January 22, 2015
- Invited panelist for public forum event: "Guns, public health, & mental illness: New approaches to keeping guns from dangerous people." A public forum hosted by the Educational Fund, Educational Fund to Stop Gun Violence, and the Consortium for Risk-Based Firearm Policy, Virginia State Capitol, Richmond, Monday January 12, 2015
- Invited lecture for public forum event: "New approaches to keeping guns from dangerous people." A public forum hosted by the Illinois Council Against Handgun Violence, the Educational Fund to Stop Gun Violence, and the Consortium for Risk-Based Firearm Policy; Loyola Law School, Chicago, December 16, 2014.
- Invited guest seminar presentation: "Federal legislation to fix mental health care in America: Alternative visions in the Murphy Bill and Barber Bill." Graduate Seminar in Mental Health Policy. Department of Health Care Policy and Management, UNC Chapel Hill, December 2, 2014.
- Conference symposium panel presentation: "Which came first, the evidence or the law? A look at critical opportunities in public health law." American Public Health Association Annual Meeting, New Orleans, November 17, 2014.
- Invited 'thought leader' presentation: "Gun violence, mental illness, and the public's health." Association of American Medical Colleges, Chicago, November 9, 2014.
- Invited lecture for public forum event: "From Virginia Tech to Azana Spa: New approaches to keeping guns from dangerous people." A public forum hosted by the U.S. Attorney James

Santelle; WAVE Educational Fund, Educational Fund to Stop Gun Violence, and the Consortium for Risk-Based Firearm Policy, Milwaukee, November 7, 2014.

Invited presentation to criminal justice policy makers in Connecticut. "Research on Connecticut's 'dangerous persons' gun seizure law." Criminal Justice Policy Advisory Committee, Legislative Office Building, Hartford, CT, October 30, 2014.

National conference symposium presentation. "Guns and mental illness: evidence, policy and law." American Academy of Psychiatry and Law Annual Meeting, Chicago, October 26, 2014.

Invited public lecture. "The truth about gun violence and mental illness: Balancing risk and rights for effective policy." Covenant Village, Golden Valley, Minnesota, October 24, 2014.

National conference symposium presentation. "Firearms laws, mental health and the law." Public Health Law Conference, Network for Public Health Law and American Association of Law Medicine and Ethics. Atlanta, October 17, 2014.

Invited professional education webinar: "Outpatient commitment as crisis-driven law: Evaluating policy options and effectiveness through the lens of gun violence." Duke University Area Health Education Center (AHEC) Webinar. September 24, 2014

Invited presentation at public forum event: "Reframing the link between mental illness and violence from a public health risk perspective." Panel presenter for public forum event: "From Virginia Tech to Isla Vista: New Approaches to keeping guns from dangerous people." A public forum hosted by the Consortium for Risk-Based Firearms Policy. Koret Auditorium, San Francisco Public Library, San Francisco, CA., July 10, 2014.

Invited presentation at public forum event: "Reframing the link between mental illness and violence from a public health risk perspective." Panel presenter for public forum event: "From Virginia Tech to Isla Vista: New approaches to keeping guns from dangerous people." A public forum hosted by the Consortium for Risk-Based Firearms Policy. California Endowment, Los Angeles, CA, July 8, 2014.

Invited guest webinar for psychiatry residents: "Public health perspectives on gun violence and mental illness: Balancing risk, rights, rhetoric, and research in federal and state policy solutions." Columbia University Public Psychiatry Seminar, May 8, 2014.

US Senate staff briefing: "Consortium for risk-based firearms policy: Federal policy recommendations," US Capitol, May 2, 2014.

Keynote lecture at national conference. "Mental illness and violence: A public dilemma." College of Psychiatric and Neurologic Pharmacists Annual Meeting, Phoenix, AZ, April 29, 2014.

International conference lecture. "Delusions and violence," Schizophrenia International Research Society, Florence, Italy, April 7, 2014.

Invited professional conference symposium lecture: "The truth about violence and mental illness." Association of Health Care Journalists Annual Meeting, Denver, CO, March 29, 2014.

Invited plenary international conference lecture: "Delusions, insights, and violence," 21st Symposium on Issues and Controversies in Psychiatry. Barcelona, Spain, March 14, 2014.

Invited medical school grand rounds: "Impact of involuntary outpatient commitment law and psychiatric advance directives: Reexamining leveraged mental health treatment policy through the lens of public concern with gun violence." Department of Psychiatry and Behavioral Sciences, University of New Mexico School of Medicine, Albuquerque, NM, February 25, 2014.

Invited public lecture: "The truth about gun violence and mental illness," Institute for the Development of Education and Advancement of Science (IDEAS) in Psychiatry, University of New Mexico, Albuquerque and Santa Fe, February 25 and 26, 2014.

- National conference lecture: "Mental illness, violence risk, and gun policy reforms: Evidence for new approaches." Thematic symposium: "Guns and Violence: Psychological, Economic, Political and Public Policy Implications." American Association for the Advancement of Science (AAAS) meeting, Chicago, IL, February 16, 2014.
- Invited medical school grand rounds: "Impact of involuntary outpatient commitment law and psychiatric advance directives: Reexamining leveraged mental health treatment policy through the lens of public concern with gun violence." Grand Rounds lecture, Sheppard Pratt Health System, Baltimore, MD, February 5, 2014.
- Briefing and discussion with national policymakers: "Evidence-based criteria for mental health prohibition and restoration of firearms rights: Federal policy recommendation from the Consortium for Risk-Based Firearms Policy." Congressional staff briefing and meetings with individual members of US Congress, Washington, DC, December 11, 2013.
- Invited presentation at public forum event: "Evidence-based criteria for mental health prohibition and restoration of firearms rights: Balancing risk and rights in state policy recommendations." Public forum: "From Virginia Tech to the Navy Yard: New Approaches to Keeping Guns from Dangerous People," hosted by the Consortium for Risk-Based Firearms Policy. University of Virginia, Charlottesville, December 2, 2013.
- National seminar presentation: "Perspectives on Assisted Outpatient Treatment: From coercion to cure." Presented at public event: Seminar on Assisted Outpatient Treatment, Substance Abuse and Mental Health Services Administration (SAMHSA). Rockville, MD, December 2, 2013.
- Keynote address at special public event: "Mental illness, violence, and mass shootings: On finding the haystack in a needle." Public forum event introduced by retired US Supreme Court Justice Sandra Day O'Connor, cosponsored by the Center for Law, Science & Innovation at the Sandra Day O'Connor College of Law and the Lincoln Center for Applied Ethics at Arizona State University, and the Steele Foundation; Sandra Day O'Connor United States Courthouse, Phoenix, AZ, November 22, 2013.
- Keynote address at special public event: "Thinking differently about mental illness and gun violence: Balancing risk, rights, rhetoric, and research for more effective policies." Mental Health and Gun Violence: A Community Conversation. Public event co-sponsored by Wake Forest School of Medicine Translational Science Institute, Mental Health Association, Winston-Salem, NC, November 14, 2013.
- Invited workshop presentation: "Thinking differently about mental illness, violence, and firearms safety." Firearm Safety Workshop: Safer Homes, Safer Families, Safer Communities, and Saving Lives. Department of Psychiatry, University of Rochester Medical Center, Rochester, NY, November 13, 2013.
- National conference symposium panel presentation: "Mental illness, violence, and gun law reform: Bringing public health law research evidence to crisis-driven policy." American Public Health Association Annual Convention, Boston, MA, November 5, 2013.
- National conference symposium panel presentation: "Thinking carefully about suicide, mental illness, and restricting access to firearms: the case of military veterans." American Public Health Association Annual Convention, Boston, MA, November 4, 2013.
- Plenary lecture and panel discussion moderator, "Brain disorders, drugs, psychopathy, and violence: What are the links?" Institute of Medicine of the National Academies Annual Meeting, Washington DC, October 21, 2013.
- Keynote address at regional conference: "Thinking carefully about gun violence and mental health: Balancing risk, rights, rhetoric, and research for more effective policies." NAMI North Carolina 29th Annual Conference, Raleigh, NC, October 4, 2013.

- Plenary lecture at regional conference: "Gun violence, mental illness and the law: Thinking carefully about policy reforms." North Carolina Psychiatric Association Annual Meeting, Asheville, NC, September 20, 2013.
- Invited symposium presentation: "Mental illness and gun violence: stigma busters, insurrectionists, and other strange bedfellows." American Sociological Association, New York, NY, August 11, 2013.
- Briefing and discussion with national policymakers: "Evidence-based policy to reduce firearms injury: reframing the role of mental illness and risk." Meeting at the Office of the Vice President; Congressional Staff Briefing, Washington, DC, Jun 27, 2013.
- International conference plenary lecture: "Violence and delusions." Featured plenary lecture, International Symposium on Controversies in Psychiatry, Cancun, Mexico, June 7, 2013.
- Invited public lecture: "Mental illness, guns & violence," Stanford Law School, Stanford, CA, May 23, 2013.
- Expert conference presentation: "Gun violence, mental illness and firearms laws: An uneasy (and unfinished) dialogue between evidence and policy." American College of Epidemiology Policy Case Studies Series: "Translating Evidence into Policy." Washington University, St. Louis, MO, April 29, 2013.
- Invited debate at Goucher College: "Reform vs. rights: Gun violence in America." President's Forum Presentation, Goucher College, Baltimore, April 15, 2013.
- Invited university guest lecture: "Violence, mental illness and firearms laws: Research evidence and challenges for policy." Janus Forum Lecture Series and Panel Discussion: "Guns in America: mental health," Brown University, Providence, RI, April 9, 2013.
- National webinar lecture: "Evidence to inform state policies on firearms and mental illness." Presentation to National Association of State Mental Health Program Directors, Forensic Division, March 28, 2013.
- Presentation to national expert conference: "Mental health records and the gun background check system: Some principles for reform." Johns Hopkins Bloomberg School of Public Health, Baltimore, MD, March 21, 2013.
- National conference plenary panel presentation and discussion participant: "After Sandy Hook: Listening session on mental health and gun violence." National Institute of Mental Health Outreach Partners Annual Conference, National Institutes of Health, Bethesda, MD, March 12, 2013.
- Invited presentation at special meeting: Presentation to the leadership of The Brady Campaign/Center to Prevent Gun Violence: "How to talk about mental health in public advocacy messaging on gun violence and policy reform," Brady Center, New York City, February 21, 2013.
- National conference presentation: "Critical opportunities in public health law: Gun policy reform." Public Health Law Research National Conference, New Orleans, January 18, 2013.
- National policy summit presentation: "Preventing gun violence involving persons with serious mental illness," Summit on Reducing Gun Violence in America: Informing Policy with Evidence and Analysis, Johns Hopkins Bloomberg School of Public Health, Baltimore, January 14-15, 2013.
- National conference symposium: Organizer and moderator for "Guns, mental illness, and violence: A conversation at the intersection of law, policymaking, and research"; presenter for "Do firearms restrictions prevent violence in people with mental illness? New research evidence," American Public Health Association annual convention, San Francisco, Monday, October 29, 2012.

- National conference lecture: "Firearms laws, mental illness, and violence: New research findings, challenges for law and policy," Public Health Law Conference, Atlanta, October 12, 2012.
- Conference workshop: "Gun violence, mental illness, and firearms laws: Background and research findings," American Psychiatric Association Institute on Psychiatric Services, New York, NY, October 7, 2012.
- National meeting symposium presentation: "Unpacking the controversy over Assisted Outpatient Treatment: Alternative intellectual frames and stakeholder perspectives," American Psychiatric Association Annual Meeting, Philadelphia, May 8, 2012.
- Invited university guest lecture: "Perception and risk of violence among people with mental illness: Implications for firearms laws and policies," Dartmouth Psychiatric Research Center, May 4, 2012.
- Invited university guest lecture: "Risk of violence among people with severe mental illness: Implications for public policy." Center for Injury Research and Policy, Johns Hopkins Bloomberg School of Public Health, April 9, 2012.
- Invited public forum lecture: "Gun violence, mental illness, and firearms laws: Background and overview of the problem." Frank Batten School of Leadership and Public Policy. University of Virginia, Charlottesville, November 30, 2011.
- National award lecture: "Understanding psychiatric advance directives: Carl Taube Award Lecture 2011." American Public Health Association Annual Meeting, Washington, D.C., November 1, 2011.
- Invited special presentation: "Gun violence, mental illness, and firearms laws: Research evidence and some questions for science, policy, and practice." Keynote presentation to the joint meeting of the American Psychiatric Association's Council on Law and Psychiatry and Committee on Judicial Action. Arlington, VA, September 9, 2011
- International conference presentation: "Costs of criminal justice involvement among persons with serious mental illness in Connecticut." Tenth Workshop on Costs and Assessment in Psychiatry: Mental Health Policy and Economics. Scuola Grande di San Giovanni Evangelista, Venice, Italy. March 26, 2011.
- Invited university guest lecture: "Violence, mental illness, and gun control." UNC Gillings School of Global Public Health, Program on Health Outcomes, Pfizer Seminar Series: University of North Carolina at Chapel Hill, February 25, 2011.
- National conference invited discussant: "Comments on Frank and McGuire, Mental health treatment and criminal justice outcomes." National Bureau of Economic Research Conference on Economic Approaches to Crime Control, Berkeley, CA, January 16, 2010.
- International keynote lecture (opening plenary session): "Do community treatment orders work?" Royal College of Psychiatrists, Faculty of Forensic Psychiatry Annual Meeting, Barcelona, Spain, February, 4, 2009.
- Invited university guest lecture: "Perspectives on violence and severe mental illness: New evidence from the NIMH CATIE study." Institute for Health, Health Care Policy, & Aging Research, Rutgers University, New Brunswick, NJ, April 30, 2009.
- Symposium panelist: "Is the implementation of Kendra's Law racially biased?" 32nd International Congress on Law & Mental Health, NYU Law School, New York City, June 30, 2009.
- International special meeting lecture: "Psychiatric advance directives and leveraged community treatment: How they interact." International Meeting of the MacArthur Research Network on Mandated Community Treatment: Rockefeller Study Center, Bellagio, Italy, October 15, 2009.

Keynote lecture: "Understanding psychiatric advance directives." Conference on Virginia's Health Care Decisions Act." Richmond, November 5, 2009.

Symposium panelist: World Psychiatric Association Convention, Melbourne, Australia, November 28, 2007. "New developments in mental health law in the US: Psychiatric advance directives and outpatient commitment."

Invited medical school grand rounds: "Violence and schizophrenia: New evidence from the NIMH CATIE study." Columbia University Department of Psychiatry and New York State Psychiatric Institute, July 18, 2007.

Symposium panelist: "Psychiatric advance directives: Do they reduce coercion?" 30th International Congress on Law and Mental Health, Padua, Italy, June 26, 2007.

Keynote speaker: "Empowering consumers: Psychiatric advance directives and other reforms." Mental Health and the Law Symposium: Civil Commitment Reform in Virginia, Charlottesville, Virginia. Presented by the Institute of Law, Psychiatry, and Public Policy, University of Virginia. Jointly sponsored by the Commonwealth of Virginia Department of Mental Health, Mental Retardation and Substance Abuse Services, the Office of Continuing Medical Education of the University of Virginia School of Medicine, and the Office of the Attorney General of Virginia, March 16, 2007.

Invited university guest lecture: "Psychiatric Advance Directives in the United States: New research and clinical implications." Stony Brook University School of Medicine. Lecture sponsored jointly by the Program in Medicine and Society, Department of Preventive Medicine, and the Department of Psychiatry & Behavioral Sciences and the Stony Brook Medical School. October 31, 2006.

Keynote speaker: "Psychiatric Advance Directives in the United States: New developments and research evidence." Annual Joint meeting of the American Psychiatric Association's Council on Psychiatry and Law and Committee on Judicial Action, Washington, D.C., September 16, 2006.

National expert panel presentation: "Effectiveness of outpatient commitment." Present to the New Mexico Behavioral Health Collaborative, public hearing on proposed Kendra's Law, Albuquerque, NM, August 31, 2006.

Invited medical school grand rounds lecture: "Psychiatric advance directives in the United States: New research and clinical Implications." Department of Psychiatry & Behavioral Sciences, University of Texas Medical Branch at Galveston, April 25, 2006.

International invited university lecture: "Outpatient commitment and treatment effectiveness: Research issues and methods." Department of Forensic Mental Health Sciences, Institute of Psychiatry at the Maudsley, Kings College London, UK, November 4, 2005.

International invited university lecture: "Violence and psychiatric disorder: Understanding risk and treatment effectiveness." Department of Forensic Mental Health Sciences, Institute of Psychiatry at the Maudsley, Kings College London, UK, November 4, 2005.

International invited public lecture: "Outpatient commitment: on effectiveness, ethics, and exporting evidence." Great Hall, University of London, Strand Campus, London, UK. Sponsored by the Institute of Psychiatry, Kings College London, October 31, 2005.

International invited university lecture: "Psychiatric advance directives: Research, clinical and policy issues." Department of Forensic Mental Health Sciences, Institute of Psychiatry at the Maudsley, Kings College London, UK, October 28, 2005.

Invited university guest lecture: "Implementing psychiatric advance directives: Research and policy issues." Department of Psychiatry, University of California at San Francisco Medical Center. October 21, 2005.

- Invited university guest lecture: "Violence risk, psychiatric disorder, and community treatment effectiveness." Psychiatric Research Center, Department of Psychiatry, Dartmouth Medical School, September 23, 2005.
- Invited guest lecture: "Psychiatric advance directives: Ethical, legal, and clinical issues." UNC-Duke Faculty Bioethics Seminar. September 9, 2005.
- National conference presentation: "Facilitated psychiatric advance directives: Completion outcomes, structure and content. Presentation for paper session," NIMH Mental Health Services Research Conference, Bethesda, Maryland, July 18, 2005.
- National conference presentation: "Psychiatric advance directives in the U.S.: Prevalence, latent demand, and correlates." Symposium panel, Psychiatric Advance Directives: Key issues and clinical challenges. Annual meeting of the American Psychiatric Association, Atlanta, May 25, 2005.
- Invited university guest lecture: "Understanding violence risk and intervention effectiveness in persons with severe mental illness: The role of leveraged community treatment and psychiatric advance directives." Health Law and Policy Institute, University of Houston Law Center, Thursday, April 14, 2005.
- Invited university guest lecture: "Implementing psychiatric advance directives: Institutional policy issues." University of North Carolina at Chapel Hill Hospitals Ethics Committee. April 7, 2005.
- Invited university guest lecture: "Understanding psychiatric advance directives." Center for Mental Health Policy and Services Research (CMHPSR), Department of Psychiatry, University of Pennsylvania Medical Center, March 1, 2005.
- National conference symposium panel: "New research on psychiatric advance directives in the U.S." Symposium: "Taking charge of future treatment: Collaboration or confrontation." Annual meeting of the American Psychiatric Association-Institute on Psychiatric Services, Atlanta, October 6, 2004.
- National conference symposium panel: "Mental health consumers' attitudes toward the use of legal and other leverage in community treatment: Perceived fairness and effectiveness." Annual meeting of the American Psychiatric Association, New York, May 4, 2004.
- Invited university guest lecture: "Understanding violence risk in persons with severe mental illness: Clinical factors, the social environment, and treatment effectiveness." University of Massachusetts Medical School, Center for Mental Health Services Research, February 20, 2002.
- Invited medical school grand rounds: "Understanding violence risk in persons with psychiatric disorder." Psychiatry Grand Rounds, James H. Quillen College of Medicine, East Tennessee State University, Johnson City, TN, February 16, 2001.
- National conference lecture: "Controversy surrounding involuntary outpatient commitment: Perspectives of different stakeholders." Meeting of the American Psychology-Law Society, New Orleans, March 10, 2000.
- International conference lecture: "Can involuntary commitment reduce violent behavior in persons with severe mental illness?" XIV International Congress on Law and Mental Health, Toronto, June 15, 1999.
- National conference lecture: "Violence and severe mental illness: The effects of substance abuse and nonadherence to medications." National Institute of Mental Health Conference on Improving the Condition of People with Mental Illness: Role of Services Research. Washington, D.C., September 4, 1997.
- International conference lecture: "Psychiatric impairment and violent behavior: a curvilinear relationship?" International Symposium on Mental Disorder and Violence: Results of Epidemiological Studies in the Era of Deinstitutionalization. Leipzig, Germany, June 27, 1997.

- Invited university guest lecture: "Doing all they can: Physicians as social actors in end-of-life treatment decisions." Ethics in Public Policy Seminar, University of North Carolina at Chapel Hill, November 22, 1996.
- National conference lecture: "Characteristics of violent events among persons with severe mental disorder." Meeting of the American Psychology-Law Society, Hilton Head, S.C., February 29, 1996.
- National conference lecture: "Violent behavior and severe mental disorder: Evaluating the evidence." Annual meeting of the American Psychiatric Association, Miami, May 25, 1995.
- National conference lecture: "Severe mental disorder and violent behavior: Clinical and community sample comparisons." Annual Meeting of National Institute of Mental Health Services Research Centers, University of North Carolina at Chapel Hill, March 3, 1995.
- National conference lecture: "Severe mental disorder, violent behavior, and the law: Research program of the UNC-CH/Duke Program on Services Research for Persons with Severe Mental Disorder." Presented at the Annual Meeting of the American Public Health Association, Washington, D.C., November 3, 1994.
- International conference lecture: "Psychotic symptoms and violent behavior in the community." Presented at XXth International Congress on Law and Mental Health, Montreal, June 18, 1994.
- Invited university guest lecture: "Doing all they can: Denial of medical futility by physicians treating terminally ill patients." Medical Humanities Spring Speaker Program, Department of Community Health and Family Medicine, University of Florida College of Medicine, Gainesville, April 11, 1994.
- Invited select meeting presentation: "The Duke Mental Health Study: Effectiveness of involuntary outpatient commitment." Presented at: Developments in Research on Mental Health and the Law, Meeting of the John D. and Catherine T. MacArthur Foundation Research Network on Mental Health and the Law, Key West, Florida, January 28, 1993.
- Invited national workshop presentation: "From magnitude to meaning: Response to Edward Mulvey on the evidence for a link between mental illness and violence." Presented at the NIMH Workshop on Interventions with Violent Mentally Ill Persons in the Community. Sponsored by the Violence and Traumatic Stress Branch of the National Institute of Mental Health. Washington, D.C., January 14, 1993.
- National conference lecture: "An epidemiological approach to understanding violence and mental disorder." Presented at the Annual Meeting of the American Public Health Association, Washington, D.C., November 10, 1992.
- National conference lecture: "Substance abuse and psychological distress among adolescents in school along the U.S.-Mexico border." Paper presented at the Annual Meeting of the American Public Health Association, Chicago, October 24, 1989.
- Presentation at regional workshop: "Utilization of state mental hospitals by Hispanics in Texas." Presented at the NIMH Regional Workshop on Minority Mental Health Services Research, Austin, June 15, 1989.
- International conference presentation: "Psychological distress and substance use among adolescents in school in the lower Rio Grande Valley: A bi-national school survey." Presented at the Annual Meeting of the U.S.-Mexico Border Health Association, Chihuahua, Mexico, June 7, 1988.
- National conference presentation: "Violent behavior and psychiatric disorder in the community: Evidence from the Epidemiologic Catchment Area Surveys." Presented at the Annual Meeting of the American Academy of Forensic Sciences, Psychiatry Section, Las Vegas, February 16, 1989.

National conference presentation: "The social environment and mortality related to psychiatric disorder: Urbanization, poverty, and ethnicity." Presented at the Human Ecology Forum: The Ecology of Substance Abuse--Toward Primary Prevention Among High Risk Youth. Howard University, Washington, D. C., October 26, 1987.

Editorial Boards and Peer Review Service

Associate Editor: Administration and Policy in Mental Health and Mental Health Services Research (2007-2010)

Guest editor: Behavioral Sciences and the Law: Special Issue on Guns and Mental Illness (2014-2015)

Peer Reviewer

JAMA

The Lancet

JAMA Archives of Psychiatry

American Journal of Psychiatry

Psychiatric Services

Acta Psychiatrica Scandinavica

Schizophrenia Bulletin

American Sociological Review

Journal of Health and Social Behavior

Criminal Behavior and Mental Health

Behavioral Sciences and the Law

Health Services Research

Administration and Policy in Mental Health and Mental Health Services Research

Social Science & Medicine

Social Psychiatry & Psychiatric Epidemiology

Organizations and Participation

Membership in Professional Organizations

American Sociological Association (Medical Sociology and Mental Health Sections)

American Public Health Association (Mental Health Section)

Grant Reviewer

National Institute of Mental Health, special emphasis panel primary reviewer

Robert Wood Johnson Foundation Program on Public Health Law Research

Wellcome Foundation Trust (UK)

National Institute for Health Research Programme Grants (UK)

Department of Veterans Affairs, Epidemiologic Research & Information Center

Conference Abstract Reviewer

American Psychology & Law Society

Other professional activity

Co-founder and Executive Committee Member, National Resource Center on Psychiatric

Advance Directives (www.nrc-pad.org)

Teaching responsibilities

1993-present

- ❖ Duke University School of Medicine, Department of Psychiatry and Behavioral Sciences
 - Associate Program Director, UNC-CH • Duke National Institute of Mental Health (NIMH) Postdoctoral Training Program in Mental Health Services and Systems Research

- Seminar co-director, Mental Health Services and Systems Research (Duke and UNC postdoctoral fellows)
- Regular contributing faculty member, Seminar in Social Psychiatry (Duke psychiatry residents)
- Primary mentor for 2 NIMH-funded postdoctoral fellows
- Dissertation committee member for masters and doctoral students (Sanford School of Public Policy and UNC-CH Department of Health Care Policy); ad hoc research mentoring for residents in psychiatry

Past teaching experience

1981-1982

- ❖ Yale University, Department of Sociology
 - Teaching fellow, Introduction to Sociology
 - Teaching fellow, Sociology of the Family

1985-1991

- ❖ University of Texas Medical Branch at Galveston, Department of Psychiatry and Behavioral Sciences
 - Lecturer/seminar leader, psychiatry residency training program:
 - Motivational Theories (Co-instructor)
 - Psychiatry and Religion
 - Epidemiology of Substance Abuse (State program for impaired physicians)
 - Methods of Research
 - Preceptor, 4th year medical student research projects
 - Research advisor, psychiatry residents

1989-1991

- ❖ UTMB, Institute for the Medical Humanities
 - Seminar on Aging and the Humanities (co-instructor)
 - Doctoral dissertation committee member (3 completed PhDs)

1987-1989

- ❖ University of Texas Health Sciences Center at Houston, School of Public Health
 - Doctoral dissertation committee member

External support (grants and contracts, principal or co-investigator):

Active support

Postdoctoral Research Training in Mental Health Services and Systems (1-T32-MH19117-15). National Institute of Mental Health NSRA training grant (Domino, Director; Swanson, Associate Training Director)

1990-2017, \$187,797 (current annual award)

This award provides support for a two-year intensive training program for postdoctoral fellows in mental health and substance abuse services and systems research.

Veterans' mental health and reducing risk of violence and suicide.

VISN 6 Mental Illness Research Education and Clinical Center (MIRECC), Durham Veterans Affairs Medical Center (IPA - Swanson)

12/01/16-11/30/18; \$137,240

This mobility assignment facilitates the involvement of Dr. Jeffrey Swanson with the VISN 6 MIRECC to develop collaborative research focused on post-deployment Veterans' mental health, which is

intended specifically to inform and develop more effective ways to reduce risk of violence and dangerous behavior in Veterans with mental illness and to prevent suicide in Veterans.

Implementation and effectiveness of firearms laws

Elizabeth K. Dollard Charitable Trust (Swanson, PI)

02/01/14-01/31/19; \$635,000

This project builds evidence for the effectiveness of policies and laws to prevent gun violence at the intersection of mental health services and criminal justice systems.

Feasibility study for testing the effects of extended-release naltrexone (Vivitrol) on recidivism and other participant outcomes in drug court settings

Laura and John Arnold Foundation (Robertson, PI)

07/20/16-3/31/19, \$308,651

The purpose is to conduct a feasibility study to pilot test the use of Vivitrol in drug courts, testing the extent to which it can improve drug court outcomes by reducing relapse and recidivism, and thereby improving public safety.

Implementation and Effectiveness of Risk-Based Firearm Removal Laws in Connecticut and Indiana
New Venture Fund – Fund for a Safer Future (Swanson, PI)

06/01/14-05/31/18, \$268,374

The project will inform the development and implementation of specific gun violence prevention policies by conducting a comparative analysis of the characteristics and outcomes of all gun seizures carried out under Connecticut's and Indiana's laws, from 1999-2013 and 2006-2012, respectively.

Evaluation of the Assisted Outpatient Treatment Grant Program for Individuals with Serious Mental Illness

RTI/DHHS (Swartz, PI)

9/30/16-09/29/20, \$67,881

The task order proposal to ASPE proposes to evaluate the impact of assisted outpatient treatment (AOT) across eight sites via an implementation and outcome evaluation.

Recent past support

- 1) Firearms Laws, Mental Disorder, and Violence. National Science Foundation and the Robert Wood Johnson Foundation Public Health Law Research Program (\$300,000; 2011-2017 [NCE]; J. Swanson, PI)
- 2) Implementation and Effectiveness of 'Dangerous Persons' Gun-Seizure Laws. Jointly funded by New Venture Fund, Fund for a Safer Future, and the Elizabeth K. Dollard Trust (\$300,000; 2014-2016; J. Swanson, PI)
- 3) Implementing Facilitated Psychiatric Advance Directives with Peer Specialists on Assertive Community Treatment Teams. US Department of Education, National Institute on Disability and Rehabilitation Research (\$591,000; 2012-2015; J. Swanson, PI)
- 4) Veterans' mental health and reducing risk of violence and suicide. VISN 6 Mental Illness Research Education and Clinical Center (MIRECC) Durham Veterans Affairs Medical Center 558/05; J. Swanson, PI; 2013-2016

- 5) Methods Core. RWJF National Program on Public Health Law Research. Robert Wood Johnson Foundation through Temple University (\$227,961; 2011-2014; J. Swanson, PI)
- 6) Preventing firearms violence and suicide among adults with serious mental illness: Bringing epidemiological evidence to public policy reform. Brain and Behavior Foundation, NARSAD Distinguished Investigator Award (\$100,000; 2013-2014; J. Swanson, PI)
- 7) Estimating the Effect and Cost Impact of Medication Compliance and Outpatient Services on Criminal Justice Outcomes for Persons with Serious Mental Illness. Stanley Medical Research Institute (\$75,000; 2011-2012; J. Swanson, PI).
- 8) Firearms Laws, Mental Disorder, and Violence. Robert Wood Johnson Foundation through Temple University (\$160,000; 2011-2012; J. Swanson, PI)
- 9) Improving Money Management Skills for Veterans with Psychiatric Disabilities. US Department of Education through UNC-Chapel Hill (\$350,257; 2010-2013; J. Swanson, PI).
- 10) Quantifying Costs and Benefits of Outpatient Commitment and Psychiatric Advance Directives. John D. and Catherine T. MacArthur Foundation through UVA-Charlottesville (\$251,812; 2008-2011; J. Swanson, PI).
- 11) Implementation of mental health advance directives in Virginia. John D. and Catherine T. MacArthur Foundation through UVA-Charlottesville, Supplement to contract from RWJF on VA HCDA 2009 (\$38,514; 2010-2011; J. Swanson, PI).
- 12) Virginia's Health Care Act 2009: Implementation and potential impact on population mental health, coercion and public safety. Robert s Johnson Foundation through UVA-Charlottesville (\$49,032; 2010; C. Wilder, PI).
- 13) Assessing the Effectiveness of Assisted Outpatient Treatment in New York. John D. and Catherine T. MacArthur Foundation Research Network on Mandated Community Treatment, Supplement to evaluation contract from the New York State Office of Mental Health (\$318,093, 2006-2010; M. Swartz and J. Swanson, Co-PIs).
- 14) Costs of Criminal Justice Involvement among Persons with Severe Mental Illness in Connecticut. Eli Lilly & Co. (\$485,000; 2007-2010; J. Swanson, PI).
- 15) Improving Representative Payeeship for People with Psychiatric Disabilities and Their Families. University of North Carolina at Chapel Hill from NIDRR (\$417,437; 2007-2010; J. Swanson, PI).
- 16) Costs Associated with Outpatient Treatment for Persons with SMI in NC. Stanley Medical Research Institute (\$74,730; 2008-2010; J. Swanson, PI).
- 17) Violence Risk Management and Psychiatric Treatment (K02-MH67864). National Institute of Mental Health, Independent Research Scientist Career Award (\$889,374; 2004-2009; J. Swanson, PI).
- 18) Antipsychotic Treatment Effects on Reducing Violence in Schizophrenia. Eli Lilly &Co. (\$62,500; 2009-2011; J. Swanson, PI).
- 19) Sustaining the National Resource Center on Psychiatric Advance Directives. John D. and Catherine T. MacArthur Foundation (\$50,000; 2010; M. Swartz, PI).
- 20) Assisted Outpatient Treatment (AOT) Evaluation Services. John D. & Catherine T. MacArthur Foundation through UVA-Charlottesville (\$318,093; 2006-2010; M. Swartz and J. Swanson, Co-PIs)
- 21) Evaluating Kendra's Law - Assisted Outpatient Treatment in New York. Contract from the New York State Office of Mental Health (\$648,876, 2006-2009; M. Swartz and J. Swanson, Co-PIs).
- 22) Effectively Implementing Psychiatric Advance Directives (5R01MH063949). National Institute of Mental Health grant (\$1,978,000; 2002-2006 with no-cost extension through 2007; J. Swanson, PI).

- 23) Stability and Long-term Outcomes of Psychiatric Advance Directives. John D. and Catherine T. MacArthur Foundation Research Network on Mandated Community Treatment; subcontract from the University of Virginia Law School. (\$374,619; 2007-2009; J. Swanson, subcontract PI).
- 24) Building a Virtual Resource Center for Psychiatric Advance Directives and Self-Directed Care: The National Resource Center on Psychiatric Advance Directives. John D. and Catherine T. MacArthur Foundation grant (\$450,000, 2005-2008; M. Swartz, PI).
- 25) Psychiatric Advance Directives for improved health care. Department of Veterans Affairs grant (\$884,077; 2003-2007; M. Butterfield, PI).

Other past support (chronological)

- 26) Mental health needs assessment for Texas: Application of ECA data. Subcontract with Texas Department of Mental Health and Retardation, state planning grant from NIMH (\$10,000; 1987; C. Holzer, PI).
- 27) Psychiatric disorder among Vietnamese immigrants in Texas: A diagnostic survey of 100 households in Harris and Galveston counties. NIMH contract: Refugee Assistance Program-Mental Health; subcontract, Texas Department of Mental Health and Mental Retardation (\$20,000; 1987; C. Holzer, PI).
- 28) Identifying the young chronically mentally ill population with substance abuse problems: Application of ECA core database. NIMH contract (\$1,500; 1988; J. Swanson, PI).
- 29) Substance abuse and psychological distress among high-risk youth in the Rio Grande Valley: A binational school survey. University of Texas Medical Branch faculty seed grant (\$11,000; 1987-1989; J. Swanson, PI).
- 30) Hispanic Americans and the mental health service system in Texas. Constituent project of the Center for Cross-Cultural Research (F. Trevino, Director). NIMH Center grant (\$40,000; 1988-1990; J. Swanson, project PI).
- 31) Psychiatric disorder in families: Multi-ethnic comparisons. Constituent project of Center for Cross-Cultural Research (F. Trevino, Director). NIMH Center grant (\$300,000; 1988-1991; C. Holzer, project PI).
- 32) Mental health services in state correctional facilities. NIMH contract (\$12,000; 1991-1992; J. Morrissey PI).
- 33) Violent behavior, mental disorder, and substance abuse in the Epidemiologic Catchment Area (ECA) data. Consulting contract with the John D. and Catherine T. MacArthur Foundation Research Network on Mental Health and the Law (\$2,900; 1991-1993; J. Swanson, PI).
- 34) The effectiveness of involuntary outpatient commitment. NIMH grant (\$1,859,000; 1992-1997; M. Swartz, PI).
- 35) North Carolina Program on Services Research for People with Severe Mental Disorders. NIMH Center grant (\$3,663,246; 1993-1998; J. Morrissey, PI).
- 36) Violent behavior, severe mental disorder, and involuntary outpatient commitment. Constituent project of the North Carolina Program on Services Research for People with Severe Mental Disorders. NIMH Center grant (see # 10 above; 1993-1998; J. Swanson, project PI).
- 37) Violence among people with severe mental disorders: Comparative evidence from ECA and Clinical Samples. Constituent project of the North Carolina Program on Services Research for People with Severe Mental Disorders. NIMH Center grant (see #10 above; 1993-1998; J. Swanson, project PI).
- 38) Evaluation of New York involuntary outpatient commitment program. Contract with State of New York Department of Mental Health (H. Steadman, PI)—Subcontract to Duke (\$227,000; 1995-1997; M. Swartz, PI).

- 39) Assessing HIV/AIDS and associated health risks in people with severe mental illness. Cooperative study, Duke University Medical Center, New Hampshire/Dartmouth Psychiatric Research Center, Johns Hopkins/University of Maryland, and Connecticut Dept. of Mental Health & Addiction Services/University of Connecticut. NIMH supplement to ongoing grants at these sites (\$179,000; 1996-1998; M. Swartz, PI).
- 40) Medication compliance, substance abuse comorbidity, and violent behavior: Direct and indirect effectiveness of atypical antipsychotic therapies for persons with severe and persistent mental illness in community-based treatment. Duke/Sandoz Research Program (\$12,500; 1997-1999; J. Swanson, PI).
- 41) HIV/AIDS treatment adherence, health outcomes and cost. Substance Abuse and Mental Health Services Administration grant (\$3,750,000; 1998-2003; K. Whetten-Goldstein, PI).
- 42) Illicit drug use, clinical characteristics, and treatment outcomes in schizophrenia. Stanley Foundation Research Award (\$150,000; 1998-2000; M. Swartz & J. Swanson, Co-PIs).
- 43) Formats for assessing HIV risk in severely mentally ill people. National Institute of Mental Health grant to Dartmouth Medical School (G.Wolford, PI)—subcontract to Duke (\$34,375; 1999-2000; M. Swartz, PI; 5% FTE).
- 44) HIV seroprevalence and risks in veterans with severe mental illness. Dept. of Veterans Affairs, grant to the Durham VA Medical Center's Epidemiologic Research & Information Center. (\$424,619; 1998-2002; M. Butterfield, PI; 10% FTE).
- 45) Epidemiologic Research and Information Center. Dept of Veterans Affairs, administrative core (\$450,000; 1998-2002; R. Horner, PI; 20% FTE).
- 46) Schizophrenia Care and Assessment Program: National multi-site study of schizophrenia treatment cost-effectiveness. Eli Lilly & Company/Medstat Group (\$1,013,849; 1997-2002; J. Swanson, Duke site PI; 30% FTE).
- 47) Effectiveness of atypical antipsychotic medications in reducing violent behavior among persons with schizophrenia in community-based treatment. Eli Lilly & Company (\$106,163; 2000-2003; J. Swanson, PI; 15% FTE).
- 48) Comparing effects of treatment with olanzapine vs. risperidone vs. haloperidol vs. no antipsychotic medication on risk of violent behavior and criminal justice involvement in persons with schizophrenia: Longitudinal findings from the North Carolina site of the Schizophrenia Care and Assessment Program (NC-SCAP). Eli Lilly & Company (\$57,558; 2002-2003; J. Swanson, PI; 25% FTE).
- 49) Effectiveness of involuntary outpatient commitment: Evidence and practice guidelines. National Institute of Mental Health grant—competitive renewal (\$769,212; 1999-2003; M. Swartz & J. Swanson, Co-PIs; 20% FTE).
- 50) How does mandated treatment motivate and benefit persons with severe mental illness? MacArthur Foundation (\$135,000 subcontract with Univ. of Virginia, J. Monahan, PI; 2001-2003; M. Swartz & J. Swanson, Duke Co-PIs; 10% FTE).
- 51) Clinical Antipsychotic Trials of Intervention Effectiveness. National Institute of Mental Health contract with University of North Carolina at Chapel Hill Medical School, subcontract to Duke (\$3,143,329; 1999-2004; M. Swartz, PI; 5% FTE).
- 52) Implementing Psychiatric Advance Directives among Recently-Hospitalized Patients under Outpatient Commitment. MacArthur Foundation grant to the University of Virginia, subcontract to Duke University Medical Center (\$375,000; 2003-2005; J. Swanson, PI; 50% FTE).
- 53) Duke Program on Psychiatric Advance Directives. Greenwall Foundation grant (\$99,968; 2003-2005; M. Swartz & J. Swanson, Co-PIs; 10% FTE).

BIBLIOGRAPHY (total 214 publications)*Book*

- 1) Swanson JW (1995). *Echoes of the Call: Identity and Ideology among American Missionaries in Ecuador*. New York: Oxford University Press.

Articles in Peer-Reviewed Journals (chronological)

- 2) Holzer CE, Shea BM, Swanson JW, Leaf PJ, Myers JK, George L, Bednarski P (1986). The increased risk for specific psychiatric disorders among persons of low socioeconomic status: Evidence from the NIMH Epidemiologic Catchment Area Study. *American Journal of Social Psychiatry*, 6, 259-271.
- 3) Swanson JW, Holzer CE, Canavan MM, Adams PL (1988). Psychopathology and economic status in mother-only and mother-father families. *Child Psychiatry and Human Development*, 6(4), 15-24.
- 4) Swanson JW, Holzer CE, Ganju VK, Jono RT (1990). Violence and psychiatric disorder in the community: Evidence from the Epidemiologic Catchment Area Surveys. *Hospital and Community Psychiatry*, 41(7), 761-770. Abstracted in *Mosby Yearbook of Psychiatry*, 1991; Japanese translation published in *Archives of Psychiatric Diagnostics and Clinical Evaluation*, 1994, 5(4), 477-486; Revised version republished in American Psychiatric Association (1997), *Violent Behavior and Mental Illness*, Washington: Psychiatric Services Resource Center, 20-29.
- 5) Swanson JW, Linskey AO, Quintero-Salinas R, Pumariega AJ, Holzer CE (1992). A binational school survey of depressive symptoms, drug use and suicidal ideation. *Journal of the American Academy of Child and Adolescent Psychiatry*, 31(4), 669-678.
- 6) McCrary SV, Swanson JW, Perkins HS, Winslade WJ (1992). Treatment decisions for terminally ill patients: physicians' legal defensiveness and knowledge of medical law. *Law, Medicine & Health Care*, 20(4), 364-376.
- 7) Pumariega AJ, Swanson JW, Holzer CE, Linskey AO, Quintero-Salinas R (1992). Cultural context and substance abuse in Hispanic adolescents. *Journal of Child and Family Studies*, 1(1), 75-92.
- 8) Swanson JW (1993). Alcohol abuse, mental disorder, and violent behavior: An epidemiologic inquiry. *Alcohol Health and Research World*, 17(2), 123-132.
- 9) Swanson JW, Holzer CE, Ganju VK (1993). Hispanic Americans and the state mental hospitals in Texas: Ethnic parity as a latent function of a fiscal incentive policy. *Social Science and Medicine*, 37(7), 917-926.
- 10) Swanson JW, McCrary SV (1994). Doing all they can: Physicians who deny medical futility. *Journal of Law, Medicine & Ethics*, 22(4), 318-326.
- 11) McCrary SV, Swanson JW, Youngner SJ, Perkins HS, Winslade WJ (1994). Physicians' quantitative assessments of medical futility. *Journal of Clinical Ethics*, 5(2), 100-104.
- 12) Eloubeide M, Swanson JW, Sugarman J (1995). Policies on medical futility in North Carolina hospitals. *North Carolina Medical Journal*, 56(9), 420-422.
- 13) Swartz MS, Burns BJ, Hiday VA, George LK, Swanson JW, Wagner HR, Landerman R (1995). New directions in research on involuntary outpatient commitment. *Psychiatric Services*, 46(4), 381-385.

- 14) Swanson JW, Borum R, Swartz MS, Monahan J (1996). Psychotic symptoms and disorders and the risk of violent behaviour in the community. *Criminal Behavior & Mental Health*, 6, 317-338.
- 15) Swanson JW, McCrary SV (1996). Medical futility decisions and physicians' legal defensiveness: The impact of anticipated conflict on thresholds for end-of-life treatment. *Social Science & Medicine*, 42(1), 125-132.
- 16) Borum R, Swartz M, Swanson J (1996). Assessing and managing violence risk in clinical practice. *Journal of Practical Psychiatry and Behavioral Health*, 2, 205-215.
- 17) Swanson JW, Estroff SE, Swartz MS, Borum R, Lachicotte W, Zimmer C, Wagner R (1997). Violence and severe mental disorder in clinical and community populations: The effects of psychotic symptoms, comorbidity, and lack of treatment. *Psychiatry*, 60 (Spring), 1-22.
- 18) Swanson JW, Swartz MS, George LK, Burns BJ, Hiday VA, Borum WR, and Wagner HR (1997). Interpreting the effectiveness of involuntary outpatient commitment: A conceptual model. *Journal of the American Academy of Psychiatry and Law*, 25(1), 5-16.
- 19) Borum R, Swanson JW, Swartz MS, Hiday VA (1997). Substance abuse, violent behavior, and police encounters among persons with severe mental disorders. *Journal of Contemporary Criminal Justice*, 13(3), 236-249.
- 20) Hiday VA, Swartz MS, Swanson JW, Wagner HR (1997). Patient perceptions of coercion in mental hospital admission. *International Journal of Law & Psychiatry*, 20(2), 227-241.
- 21) Swartz MS, Burns BJ, George LK, Swanson JW, Hiday VA, Borum R (1997). The ethical challenges of a randomized controlled trial of involuntary outpatient commitment. *Journal of Mental Health Administration*, 24(1), 35-43.
- 22) Swanson JW, Swartz MS, Estroff SE, Borum WR, Wagner HR, Hiday VA (1998). Psychiatric impairment, social contact, and violent behavior. *Social Psychiatry & Psychiatric Epidemiology*, 33, S86-94.
- 23) Estroff SE, Swanson JW, Lachicotte WS, Swartz MS, Bolduc M (1998). Risk reconsidered: Targets of violence in the social networks of people with serious psychiatric disorders. *Social Psychiatry & Psychiatric Epidemiology*, 33, S95-101.
- 24) Hiday VA, Swartz MS, Swanson JW, Borum WR, Wagner HR (1998). Male and female differences in the setting and construction of violence. *Social Psychiatry & Psychiatric Epidemiology*, 33, S68-74.
- 25) Swartz MS, Swanson JW, Hiday VA, Borum R, Wagner R, Burns BJ (1998). Violence and severe mental illness: The effects of substance abuse and nonadherence to medications. *American Journal of Psychiatry*, 155(2), 226-231.
- 26) Swartz MS, Swanson JW, Hiday VA, Borum WR, Wagner HR, Burns BJ (1998). Taking the wrong drugs: Substance abuse, medication nonadherence, and violence in severely mentally ill individuals. *Social Psychiatry & Psychiatric Epidemiology*, 33, S75-80.
- 27) Swartz MS, Wagner HR, Swanson JW, Burns BJ, George LK, Padgett DK (1998). Comparing use of public and private mental health services: The enduring barriers of race and age. *Community Mental Health Journal*, 34(2), 133-144.
- 28) Swanson JW, Borum R, Swartz MS, Hiday VA (1999). Violent behavior preceding hospitalization among persons with severe mental illness. *Law & Human Behavior*, 23(2), 185-204.

- 29) Borum WR, Swartz MS, Riley SR, Swanson JW (1999). Consumer perceptions of involuntary outpatient commitment. *Psychiatric Services*, 50(11), 1489-1491.
- 30) Hiday VA, Swartz MS, Swanson JW, Borum WR, Wagner HR (1999). Criminal victimization of persons with severe mental illness. *Psychiatric Services*, 50, 62-68.
- 31) McCrary SV, Swanson JW (1999). Physicians' legal defensiveness and knowledge of medical law: Comparing Denmark and the United States. *Scandinavian Journal of Social Medicine*, 27(1), 18-21.
- 32) Swartz MS, Hiday VA, Wagner HR, Swanson JW, Borum WR, Burns BJ (1999). Measuring coercion under involuntary outpatient commitment: Initial findings from a randomized clinical trial. *Research in Community and Mental Health*, 10, 57-77.
- 33) Swartz MS, Swanson JW, Wagner HR, Burns BJ, Hiday VA, Borum WR (1999). Can involuntary outpatient commitment reduce hospital recidivism? Findings from a randomized trial in severely mentally ill individuals. *American Journal of Psychiatry*, 156(12), 1968-1975.
- 34) Swanson JW, Swartz MS, Borum RB, Hiday VA, Wagner HR, Burns BJ (2000). Involuntary out-patient commitment and reduction of violent behaviour in persons with severe mental illness. *British Journal of Psychiatry*, 176, 324-331.
- 35) Swanson JW, Tepper MC, Backlar P, Swartz MS (2000). Psychiatric advance directives: An alternative to coercive treatment? *Psychiatry: Interpersonal and Biological Processes*, 63(2), 160-172.
- 36) Salyers M, Bosworth H, Swanson J, Lamb-Pagone J, Osher F (2000). Reliability and validity of the SF-12 health survey among people with severe mental illness. *Medical Care*. 38(11):1141-50.
- 37) Thompson MS, George LK, Swartz MS, Burns BJ, Swanson JW (2000). Race, role responsibility and relationship: Understanding the experience of caring for the severely mentally ill. *Research in Community Mental Health*, 11, 157-185.
- 38) Swanson JW, Borum WR, Swartz MS, Hiday VA, Wagner HR, Burns BJ (2001). Can involuntary outpatient commitment reduce arrests among persons with severe mental illness? *Criminal Justice and Behavior*, 28 (2):156-189.
- 39) Backlar P, McFarland BH, Swanson JW, Mahler J (2001). Consumer, provider, and informal caregiver opinions on psychiatric advance directives. *Administration and Policy in Mental Health*. 28 (6):427-441.
- 40) Goodman LA, Salyers MP, Mueser KT, Rosenberg SD, Swartz MS, Essock SM, Osher FC, Butterfield MI, Swanson JW (2001). Recent victimization in women and men with severe mental illness: Prevalence and correlates. *Journal of Traumatic Stress*, 14(4): 615-632.
- 41) Hiday VA, Swanson JW, Swartz MS, Wagner HR, Borum R (2001). Victimization: A link between mental illness and violence? *International Journal of Law & Psychiatry*, 24: 559-572.
- 42) Moss K, Burris S, Ullman MA, Johnsen M, Swanson J (2001). Unfunded mandate: An empirical study of the implementation of the Americans with Disabilities Act by the Equal Employment Opportunity Commission. *Kansas Law Review*, 50 (1):1-110.
- 43) Swartz M, Swanson J, Wagner HR, Burns B, Hiday V (2001). Effects of involuntary outpatient commitment and depot antipsychotics on treatment adherence in persons with severe mental illness. *Journal of Nervous and Mental Disease*, 189(9), 583-592.

- 44) Swartz MS, Swanson JW, Hiday VA, Wagner HR, Burns BJ, Borum R (2001). A randomized controlled trial of outpatient commitment in North Carolina. *Psychiatric Services*, 52 (3), 325-329.
- 45) Swanson JW, Swartz MS, Essock SM, Osher FC, Wagner HR, Goodman LA, Rosenberg SD, Meador KG (2002). The social-environmental context of violent behavior in persons treated for severe mental illness. *American Journal of Public Health*, 92(9): 1523-1531.
- 46) Compton SN, Swanson JW, Wagner HR, Swartz MS, Burns BJ, Elbogen EB (2003). Effects of involuntary outpatient commitment on homelessness in persons with severe mental illness. *Mental Health Services Research*, 5, 27-38.
- 47) Hiday VA, Swanson JW, Swartz MS, Wagner HR, Borum WR (2002). The impact of outpatient commitment on victimization of persons with severe mental illness. *American Journal of Psychiatry*, Vol 159(8), 1403-1411.
- 48) Moss K, Swanson J, Ullman M, Burris S (2002). Mediation of employment discrimination disputes involving persons with psychiatric disabilities. *Psychiatric Services*, 53(8): 988-995.
- 49) Silver E, Mulvey E, Swanson JW (2002). Social disorganization and mental disorder: A multilevel study. *Social Science and Medicine*. 55, 1457-1470.
- 50) Swartz M, Wagner H, Swanson J, Hiday V, and Burns B (2002). The perceived coerciveness of involuntary outpatient commitment: Findings from an empirical study. *Journal of the American Academy of Psychiatry and the Law*, 30, 207-217.
- 51) Swanson JW, Swartz MS, Elbogen E, Wagner HR, Burns BJ (2003). Effects of involuntary outpatient commitment on subjective quality of life in persons with severe mental illness. *Behavioral Sciences and the Law*, 21, 473-491.
- 52) Swanson JW, Swartz MS, Hannon MJ, Elbogen EB, Wagner HR, McCauley BJ, Butterfield MI (2003). Psychiatric advance directives: A survey of persons with schizophrenia, family members, and treatment providers. *International Journal of Forensic Mental Health*, 2, 73-86.
- 53) Butterfield MI, Bosworth HB, Meador KG, Stechuchak KM, Essock SM, Osher FC, Goodman L, Swanson JW, Bastian LA, Horner RD, and the Five-Site Health and Risk Study Research Committee (2003). Gender differences in Hepatitis C infection and risks in persons with severe mental illness. *Psychiatric Services*, 54, 848-853.
- 54) Elbogen E, Swanson J, and Swartz M (2003). Psychiatric disability, the use of financial leverage, and perceived coercion in mental health services. *International Journal of Forensic Mental Health*, 2:119-127.
- 55) Elbogen EB, Swanson JW, Swartz MS (2003). Characteristics of third-party money management for persons with psychiatric disabilities. *Psychiatric Services*, 54, 1136-1141.
- 56) Elbogen EB, Swanson JW, Swartz MS (2003.) Mandated community treatment, representative payeeship, perceived coercion, and treatment adherence. *Journal of Nervous and Mental Disease*. 191:10, 629-637.
- 57) Horner RD, Swanson JW, Bosworth HB, Matchar DB, and the VA Acute Stroke Study Team (2003). The effects of race and poverty on the process and outcome of inpatient rehabilitation services among stroke patients. *Stroke*, 34(4):1027-1031.
- 58) Rosenberg S, Swanson J, Wolford G, Osher F, Swartz M, Essock S, Butterfield M, Marsh B, Stover E, and the Five-Site Health and Risk Study Research Committee (2003). The 5 site

- collaborative study of blood-borne diseases in people with severe mental illness. *Psychiatric Services*, 54, 827-835.
- 59) Swartz MS, Swanson J, Hannon M (2003). Does fear of coercion keep people away from mental health treatment? *Behavioral Sciences and the Law*, 21, 459-472.
 - 60) Swartz MS, Swanson JW, Butterfield MI, Osher FC, Essock SM, Hannon MJ, Rosenberg SD and the Five-Site Health and Risk Study Research Committee (2003). Access to health care among people with severe mental illness at risk for hepatitis infection. *Psychiatric Services*, 54, 854-859.
 - 61) Swartz MS, Swanson JW, Hannon MA, Wagner HR, Burns BJ (2003). Detection of illicit substance use in persons with schizophrenia. *Psychiatric Services*, 54, 891-895.
 - 62) Swartz MS, Swanson JW, Hannon MJ, Wagner HR, Burns BJ, Shumway M (2003.) Preference assessments of outpatient commitment for persons with schizophrenia: Views of four stakeholder groups. *American Journal of Psychiatry*, 160, 1139-1146.
 - 63) Swartz MS, Swanson JW, Monahan J (2003). Endorsement of personal benefit of outpatient commitment among persons with severe mental illness. *Psychology, Public Policy and Law*, 9:1, 70-93.
 - 64) Wagner HR, Swartz MS, Swanson JW, Burns BJ (2003). Does involuntary outpatient commitment lead to more intensive treatment? *Psychology, Public Policy and Law*, 9:1, 145-158.
 - 65) Swanson JW, Swartz MS, Elbogen E (2004). Effectiveness of atypical antipsychotic medications in reducing violent behavior among persons with schizophrenia in community-based treatment. *Schizophrenia Bulletin*, 30 (1), 3-20.
 - 66) Swanson JW, Swartz MS, Elbogen EB, Van Dorn RA (2004). Reducing violence risk in persons with schizophrenia: olanzapine vs. risperidone. *Journal of Clinical Psychiatry*, 65, 1666-1673.
 - 67) Groff A, Burns BJ, Swanson JW, Swartz MS, Wagner HR, Tompson M (2004). Caregiving for persons with mental illness: The impact of outpatient commitment on caregiving strain. *Journal of Nervous and Mental Disease* 192:554-562.
 - 68) Swartz MS and Swanson JW (2004). Involuntary outpatient commitment, community treatment orders, and assisted outpatient treatment: What's in the data? *Canadian Journal of Psychiatry* 49: 585-591.
 - 69) Swartz MS, Swanson JW, Elbogen E (2004). Psychiatric advance directives: Practical, legal and ethical issues. *Journal of Forensic Psychology Practice*, 4, 97-107
 - 70) Swartz MS, Wagner HR, Swanson JW, Elbogen E (2004). Consumers' perceptions of the fairness and effectiveness of mandated community treatment and related pressures. *Psychiatric Services*, 55:780-785.
 - 71) Elbogen, EB, Swanson, JW, Swartz, MS, Van Dorn, R (2005). Family representative payeeship and violence risk in severe mental illness. *Law & Human Behavior* 29, 563-574.
 - 72) Elbogen, EB, Swanson, JW, Swartz, MS, Van Dorn, R (2005). Medication nonadherence and substance abuse in psychotic disorders: The impact of social environment and depressive symptoms. *Journal of Nervous and Mental Disease* 193, 673-679.
 - 73) Elbogen E, Soriano C, Van Dorn R, Swartz M, and Swanson J (2005). Consumer views of representative payee use of disability funds to leverage treatment adherence. *Psychiatric Services* 56, 45-49.

- 74) Monahan J, Redlich A, Swanson J, Robbins P, Appelbaum P, Petrila J, Steadman H, Swartz M, Angell B, and McNiel D (2005). Use of leverage to improve adherence to psychiatric treatment in the community. *Psychiatric Services* 56, 37-44.
- 75) Slade E, Salkever DS, Rosenheck R, Swanson JW, Swartz MS, Shern DS, Gallucci G, Harding C, Palmer L, Russo P (2005). Cost-sharing and access to mental health care among medicare enrollees with schizophrenia. *Psychiatric Services*. 56, 960-966.
- 76) Swartz MS, Swanson JW, Ferron J, Elbogen EB, Van Dorn RA, Kim M, Scheyett A (2005). Psychiatrists' views and attitudes about psychiatric advance directives. *International Journal of Forensic Mental Health* 4, 107-117.
- 77) Van Dorn RA, Swanson JW, Swartz MS, Elbogen EB (2005). The effects of race and criminal justice involvement on access to atypical antipsychotic medications among persons with schizophrenia. *Mental Health Services Research* 7, 123-134.
- 78) Van Dorn, RA, Swanson, JW, Elbogen, EB, Swartz MS (2005). A comparison of stigmatizing attitudes toward persons with schizophrenia in four stakeholder groups: Perceived likelihood of violence and desire for social distance. *Psychiatry*, 68(2), 152-163
- 79) Van Dorn RA, Swartz MS, Elbogen EB, Swanson JW (2005). Consumer perspectives on the fairness and effectiveness of the use of leverages for mental health treatment. *International Journal of Forensic Mental Health* 4, 119-133.
- 80) Van Dorn RA, Swartz MS, Elbogen EB, Swanson JW, Kim M, Ferron J, McDaniel LA, Scheyett. (2006). Clinicians' attitudes regarding barriers to the implementation of Psychiatric Advance Directives. *Administration and Policy in Mental Health and Mental Health Services*, 33, 449-460.
- 81) Van Dorn, RA, Mustillo, S, Elbogen, EB, Dorsey, S, Swanson, JW, Swartz, MS, and the Five-Site Health and Risk Study Research Committee (2005). The effects of early sexual abuse on adult risky sexual behaviors among persons with severe mental illness. *Child Abuse and Neglect*, 29:1265-79.
- 82) Moss, K, Ullman, MA, Swanson, JW, Burris, S (2005). Prevalence and outcomes of ADA employment discrimination claims in the federal courts. *Mental and Physical Disability Law Reporter*, 29(3) 303-310.
- 83) Elbogen EB, Swanson JW, Appelbaum PS, Swartz MS, Ferron J, Van Dorn RA, Wagner HR (2006). Competence to complete psychiatric advance directives: effects of facilitated decision making. *Law & Human Behavior*, 31, 275-289.
- 84) Swanson JW, Swartz MS, Ferron J, Elbogen EB, Van Dorn RA (2006). Psychiatric advance directives among public mental health consumers in five U.S. cities: Prevalence, demand, and correlates. *Journal of the American Academy of Psychiatry & Law*, 34, 43-57.
- 85) Swanson JW, Swartz MS, Van Dorn RA, Elbogen EB, Wagner HR, Rosenheck RA, Stroup TS, McEvoy JP, Lieberman JA (2006). A national study of violent behavior in persons with schizophrenia. *Archives of General Psychiatry*, 63, 490-499.
- 86) Swanson JW, Van Dorn RA, Monahan J, Swartz MS (2006). Violence and leveraged community treatment for persons with mental disorder. *American Journal of Psychiatry*, 163, 1404-1411.
- 87) Swanson JW, Burris S, Moss K, Ullman MA, Ranney LM (2006). Justice disparities: Does the ADA enforcement system treat persons with psychiatric disabilities fairly? *Maryland Law Review*, 66, 94-134.

- 88) Swanson JW, McCrary SV, Swartz MS, Elbogen EB (2006). Superseding psychiatric advance directives: Ethical and legal considerations. *Journal of the American Academy of Psychiatry and the Law*, 34, 385-394.
- 89) Swanson JW, Swartz MS, Elbogen EB, Van Dorn RA, Ferron J, Wagner HR, McCauley BJ, Kim M (2006). Facilitated psychiatric advance directives: a randomized trial of an intervention to foster advance treatment planning among persons with severe mental illness. *American Journal of Psychiatry*, 163, 1943-51.
- 90) Swanson JW, McCrary SV, Swartz MS, Van Dorn RA, Elbogen EB (2007). Overriding psychiatric advance directives: factors associated with psychiatrists' decisions to preempt patients' advance refusal of hospitalization and medication. *Law & Human Behavior*. 31:77-90.
- 91) Ascher-Svanum H, Faries D, Zhu B, Ernst F, Swartz M, Swanson J (2006). Medication Adherence and Long-Term Functional Outcomes in the Treatment of Schizophrenia in Usual Care. *J Clin Psychiatry*, 67, 453-460.
- 92) Ascher-Svanum H, Zhu B, Faries DE, Landbloom RP, Swartz M, Swanson J (2006). Time to discontinuation of atypical versus typical antipsychotics in the naturalistic treatment of schizophrenia. *BMC Psychiatry*, 6:8, DOI:10.1186/1471-244X-6-8.
- 93) Elbogen EB, Swartz MS, Van Dorn RA, Swanson JW, Kim M, Scheyett A (2006). Clinician decision-making and attitudes on implementing psychiatric advance directives. *Psychiatric Services*, 57, 350-355.
- 94) McCrary SV, Swanson JW, Coulehan J, Faber-Langendoen K, Olick RS, Belling C (2006). Physicians' legal defensiveness in end-of-life treatment decisions: Comparing attitudes and knowledge in states with different laws. *Journal of Clinical Ethics* 17, 15-26.
- 95) Swartz MS, Swanson JW, Kim M, Petrila J (2006). Use of involuntary outpatient commitment or related civil court treatment orders in five United States communities. *Psychiatric Services*, 57, 343-349.
- 96) Swartz MS, Swanson JW, Van Dorn RA, Elbogen EB, Shumway M (2006). Patient preferences for psychiatric advance directives. *International Journal of Forensic Mental Health* 5, 67-81.
- 97) Swartz MS, Wagner HR, Swanson JW, Stroup TS, McEvoy JP, Canive JM, Miller DD, Reimherr F, McGee M, Khan A, Van Dorn RA, Rosenheck RA, Lieberman JA (2006). Substance abuse in persons with schizophrenia: Baseline prevalence and correlates from the NIMH CATIE study. *Journal of Nervous and Mental Disease*, 194, 164-172.
- 98) Swartz MS, Wagner HR, Swanson JW, Stroup TS, McEvoy JP, McGee M, Miller DD, Reimherr F, Khan A, Canive JM, Lieberman JA (2006). Substance use and psychosocial functioning in schizophrenia among new enrollees in the NIMH CATIE study. *Psychiatric Services*, 57(8): 1110 - 1116.
- 99) Steadman H, Redlich A, Robbins P, and Swanson J (2006). Use of the criminal justice system to leverage mental health treatment: Effects on treatment adherence and satisfaction. *Journal of the American Academy of Psychiatry & Law* 34: 292-299.
- 100) Elbogen MS, Van Dorn RA, Swanson JW, Swartz MS, Monahan J (2006). Treatment engagement and violence risk in mental disorders. *British Journal of Psychiatry* 189: 354-360.

- 101) Van Dorn RA, Elbogen EB, Redlich AD, Swanson JW, Swartz MS, Mustillo S (2006). The relationship between mandated community treatment and perceived barriers to care in persons with severe mental illness. *Int J Law Psychiatry*. 29(6):495-506.
- 102) Swanson JW, Van Dorn RA, Swartz MS (2007). Effectiveness of atypical antipsychotics for reducing substance use in schizophrenia patients. *Schizophrenia Research*, 94, 114-118.
- 103) Kim, MM, Swanson, JW, Swartz, MS, Bradford, DW, Mustillo, SA, Elbogen, EB (2007). Healthcare barriers among severely mentally ill homeless adults: Evidence from the Five-Site Health and Risk Study. *Administration and Policy in Mental Health and Mental Health Services Research*, 34, 363-375
- 104) Kim MM, Van Dorn RA, Scheyett AM, Elbogen EE, Swanson JW, Swartz MS (2007). Understanding the personal and clinical utility of Psychiatric Advance Directives: A qualitative perspective. *Psychiatry*, 70, 19-29.
- 105) Elbogen EB, Mustillo S, Van Dorn R, Swanson JW, Swartz MS (2007). The effect of perceived need for treatment on risk of arrest and violence in severe mental illness. *Criminal Justice and Behavior*, 34, 197-210.
- 106) Elbogen E.B., Swanson, J.W., Swartz, M.S., Van Dorn, R.A., Ferron, J., Wagner, H.R., Wilder, C. (2007). Effectively implementing psychiatric advance directives to promote self-determination of treatment among people with mental illness. *Psychology, Public Policy, and Law*, 13 (4), 273-288.
- 107) Swartz MS, Swanson JW (2007). Psychiatric advance directives and recovery-oriented care: Commentary. *Psychiatric Services*, 58(9): 1164.
- 108) Kim MM, Scheyett AM, Elbogen EB, Van Dorn RA, McDaniel LA, Swartz MS, Swanson JW, Ferron J (2008). Front line workers' attitudes towards psychiatric advance directives. *Community Mental Health J*. Aug 25.
- 109) Scheyett A, Kim M, Swanson J, Swartz M. (2007). Psychiatric advance directives: A tool for empowerment and recovery. *Psychiatric Rehabilitation Journal*. 31,70-5.
- 110) Wilder C, Elbogen EB, Swartz MS, Swanson JW, Van Dorn RA. (2007) Effect of patients' reasons for refusing treatment on implementing Psychiatric Advance Directives. *Psychiatric Services*. 58:1348-1350, 2007)
- 111) Zervakis J, Stechuchak KM, Olsen MK, Swanson JW, Oddone EZ, Weinberger M, Bryce ER, Butterfield MI, Strauss JL (2007). Previous involuntary commitment is associated with current perceptions of coercion in voluntarily hospitalized patients. *International Journal of Forensic Mental Health*. 6, 105-112.
- 112) Elbogen, EB, Wilder C, Swartz MS, Swanson JW (2008). Caregivers as money managers for adults with severe mental illness: How treatment providers can help. *Academic Psychiatry* 32, 104-110.
- 113) Elbogen EB, Beckham JC, Butterfield MI, Swartz MS, Swanson JW (2008). Assessing risk of violent behavior among veterans with severe mental illness. *Journal of Traumatic Stress* 21, 113-117.
- 114) Henderson C, Swanson J, Szumukler G, Thornicroft G, Zinkler M (2008). Typology of advance statements in mental health care. *Psychiatric Services*. 59, 63-71.
- 115) Swanson JW (2008). Preventing the unpredicted: Managing violence risk in mental health care. *Psychiatric Services*. 59, 191-193
- 116) Scheyett A, Kim M, Swanson J, Swartz M, Elbogen E, Van Dorn R, Ferron J (2008). Social

- workers' knowledge of psychiatric advance directives: Implications for education, practice, and research. *Families in Society: The Journal of Contemporary Social Services*. Published online: DOI: 10.1606/1044-3894.3738
- 117) Swartz MS, Swanson JW (2008). Outpatient commitment: When it improves patient outcomes. *Current Psychiatry Online*, 7(4).
- 118) Swanson JW, Swartz MS, Van Dorn RA, Volavka J, Monahan J, Stroup TS, McEvoy JP, Wagner HR, Elbogen EB, Lieberman JA. (2008). Comparison of antipsychotic medication effects on reducing violence in people with schizophrenia. *British Journal of Psychiatry* 193:37-43.
- 119) Swanson JW, Van Dorn RA, Swartz MS, Smith A, Elbogen EB, Monahan J. (2008). Alternative pathways to violence in persons with schizophrenia: the role of childhood antisocial behavior problems. *Law Hum Behavior* 32(3):228-40.
- 120) Swanson JW, Swartz MS, Elbogen EB, Van Dorn RA, Wagner HR, Moser LA, Wilder C, Gilbert AR (2008). Psychiatric advance directives and reduction of coercive crisis interventions. *Journal of Mental Health* 17 (13), 255-267.
- 121) Van Dorn RA, Swanson JW, Swartz MS, Elbogen E, Ferron J. (2008) Reducing barriers to completing psychiatric advance directives. *Administration and Policy in Mental Health and Mental Health Services Research*. 35(6), 440-448.
- 122) Wolford G, Rosenberg SD, Rosenberg HJ, Swartz MS, Butterfield MI, Swanson JW, Jankowski MK. (2008). A clinical trial comparing interviewer and computer-assisted assessment among clients with severe mental illness. *Psychiatric Services*. 59(7):769-75.
- 123) Swanson, J. (2009). What would Mary Douglas do? A commentary on Kahan et al., Cultural Cognition and Public Policy: The Case of Outpatient Commitment Laws. *Law and Human Behavior*. 34, 176-85.
- 124) Swanson, J., Swartz, M., Van Dorn, R., Monahan, J., McGuire, T., Steadman, H., and Robbins, P. (2009). Racial disparities in involuntary outpatient commitment: Are they real? *Health Affairs*, 28, 816-826.
- 125) Monahan J and Swanson J (2009). Lawyers at Mid-Career: A 20-Year Longitudinal Study of Job and Life Satisfaction. *Journal of Empirical Legal Studies* 6(3):451-483.
- 126) Van Dorn RA, Swanson JW, Swartz MS (2009). Preferences for psychiatric advance directives among Latinos: views on advance care planning for mental health. *Psychiatric Services* 60(10):1383-5.
- 127) Scheyett A, Kim M, Swanson J, Swartz M, Elbogen E, Van Dorn R, Ferron J (2009). Autonomy and the use of directive intervention in the treatment of individuals with serious mental illnesses: A survey of social work practitioners. *Social Work in Mental Health* 7(4), 283-306.
- 128) Van Dorn RA, Scheyett A, Swanson JW & Swartz MS (2010). Psychiatric advance directives and social workers: An integrative review. *Social Work*, 157-167.
- 129) Burris S, Wagenaar AC, Swanson JW, Ibrahim JK, Wood J, Mello MM. Making the case for laws that improve health: a framework for public health law research (2010). *Milbank Quarterly* 88(2), 169-210.
- 130) Appelbaum PS & Swanson JW (2010). Gun laws and mental illness: How sensible are the current restrictions? *Psychiatric Services* 61, 652-4.

- 131) Busch AB, Wilder CM, Van Dorn RA, Swartz MS, Swanson JW (2010). Changes in guideline-recommended medication possession after implementing Kendra's law in New York. *Psychiatric Services* 61, 1000-5.
- 132) Gilbert AR, Moser LL, Van Dorn RA, Swanson JW, Wilder CM, Robbins PC, Keator KJ, Steadman HJ, Swartz MS (2010). Reductions in arrest under assisted outpatient treatment in New York. *Psychiatric Services* 61, 996-9.
- 133) Robbins PC, Keator KJ, Steadman HJ, Swanson JW, Wilder CM, Swartz MS (2010). Assisted outpatient treatment in New York: regional differences in New York's assisted outpatient treatment program. *Psychiatric Services* 61, 970-5.
- 134) Swanson JW, Van Dorn RA, Swartz MS, Cislo AM, Wilder CM, Moser LL, Gilbert AR, McGuire TG (2010). Robbing Peter to pay Paul: Did New York State's outpatient commitment program crowd out voluntary service recipients? *Psychiatric Services* 61, 988-95.
- 135) Swartz MS, Wilder CM, Swanson JW, Van Dorn RA, Robbins PC, Steadman HJ, Moser LL, Gilbert AR, Monahan J (2010). Assessing outcomes for consumers in New York's assisted outpatient treatment program. *Psychiatric Services* 61, 976-81.
- 136) Van Dorn RA, Swanson JW, Swartz MS, Wilder CM, Moser LL, Gilbert AR, Cislo AM, Robbins PC (2010). Continuing medication and hospitalization outcomes after assisted outpatient treatment in New York. *Psychiatric Services* 61, 982-7.
- 137) Volavka J, Swanson J (2010). Violent behavior in mental illness: the role of substance abuse. *JAMA* 304, 563-4.
- 138) Wilder CM, Elbogen EB, Moser LL, Swanson JW, Swartz MS (2010). Medication preferences and adherence among individuals with severe mental illness and psychiatric advance directives. *Psychiatric Services* 61, 380-5.
- 139) Swanson JW and Gilbert AR (2011). Mental illness and firearm violence (letter). *JAMA*. 306(9):930-931.
- 140) Ferron JC, Elbogen EB, Swanson JW, Swartz MS, McHugo GJ (2011). A conceptually based scale to measure consumers' treatment motivation. *Research on Social Work Practice*, 21, 98-105.
- 141) Swanson JW (2011). Explaining rare acts of violence: The limits of population research evidence. *Psychiatric Services*. 62:1369-1371.
- 142) Wilder CM, Swanson JW, Bonnie RJ, Wanchek TN, McLaughlin LR, Richardson JW (2013). A survey of stakeholder knowledge, experience, and opinions of advance directives for mental health in Virginia. *Administration and Policy in Mental Health and Mental Health Services Research*. 40(3), 232-239.
- 143) Strauss JB, Zervakis JB, Stechuchak KM, Olsen MK, Swanson J, Swartz M, Weinberger M., Marx CE, Calhoun PS, Bradford DW, Butterfield MI, Oddone EZ (2013). Adverse impact of coercive treatments on psychiatric inpatients' satisfaction with care. *Community Mental Health Journal*, 49(4), 457-465.
- 144) Swartz MS and Swanson JW (2013). Can states implement involuntary outpatient commitment within existing state budgets? *Psychiatric Services*. 64(1):7-9.
- 145) Swanson J (2013). Mental illness and new gun law reforms: The promise and peril of crisis-driven policy. *JAMA*. 309, 1233-1234.
- 146) Swanson J (2013). Mental illness and gun control: Comment and Response (Reply to Frattaroli S and McGinty.) *JAMA*. 310, 98-99.

- 147) Swanson JW, Frisman LK, Robertson AG, Lin HJ, Trestman RL, Shelton DA, Parr A, Rodis E, Buchanan A, Swartz MS (2013). Costs of criminal justice involvement among persons with serious mental illness in Connecticut. *Psychiatric Services*, 64(7), 630-637.
- 148) Swanson JW, Swartz MS, Van Dorn RA, Robbins PC, Steadman HJ, McGuire TG, Monahan J (2013). The cost of Assisted Outpatient Commitment: Can it save states money? *American Journal of Psychiatry*, 170:1423-1432.
- 149) Mello MM, Wood J, Burris S, Wagenaar AC, Ibrahim JK, Swanson JW (2013). Critical Opportunities for Public Health Law: A Call for Action. *American Journal of Public Health*, 103: 1979-1988.
- 150) Robertson AG, Swanson JW, Frisman LK, Lin H, Swartz MS (2014). Patterns of justice involvement among adults with schizophrenia and bipolar disorder: Key risk factors. *Psychiatric Services*, 65(7):931-8.
- 151) Swanson JW, McGinty EE, Fazel S, Mays VM (2014). Mental illness and reduction of gun violence and suicide: bringing epidemiologic research to policy. *Annals of Epidemiology* 25: 366-376
- 152) Swanson JW & Swartz MS (2014). Why the evidence for outpatient commitment is good enough. *Psychiatric Services*, 65(6), 808-811.
- 153) Swanson JW & Swartz M (2014). Response to Burns. *American Journal of Psychiatry*, 171(2), 228-229.
- 154) McGinty EE, Frattaroli S, Appelbaum PS, Bonnie RF, Grilley A, Horwitz J, Swanson JW, Webster DW (2014). Using research evidence to reframe the policy debate around mental illness and guns: process and recommendations. *American Journal of Public Health*, 104(11), e22-e26.
- 155) Robertson AG, Swanson JW, Van Dorn RA, & Swartz MS (2014). Treatment participation and medication adherence: effects on criminal justice costs of persons with mental illness. *Psychiatric Services*, 65(10), 1189-1191.
- 156) Swanson JW, Sampson NA, Petukhova MV, Zaslavsky AM, Appelbaum PS, Swartz MS, Kessler RC (2015). Guns, impulsive angry behavior, and mental disorders: Results from the national comorbidity survey replication (NCS-R). *Behavioral Sciences and the Law*. 33(2-3):199-212.
- 157) Swanson JW, Felthous AR (2015). Guns, mental illness, and the law: introduction to this issue. *Behavioral Sciences & the Law*, 33(2-3):167-77.
- 158) Robertson AG, Swanson JW, Lin H, Easter MM, Frisman LK, Swartz MS (2015). Influence of criminal justice involvement and psychiatric diagnoses on treatment costs among adults with serious mental illness. *Psychiatric Services*, 66(9):907-9.
- 159) Swartz MS, Swanson JW (2015). Consideration of all evidence about community treatment orders. *The Lancet Psychiatry*, 2(10):852-3.
- 160) Swanson JW, Bonnie RJ, Appelbaum, PS (2015). Getting serious about reducing suicide. More 'how' and less 'why'. *JAMA*, 314(21), 2229-2230
- 161) Swanson, J. W., Bonnie, R. J., & Appelbaum, P. S. (2016). Firearm Access and Risk of Suicide-Reply.. *JAMA*, 315(19), 2124-2125.
- 162) Hedman, L. C., Petrila, J., Fisher, W. H., Swanson, J. W., Dingman, D. A., & Burris, S. (2016). State laws on emergency holds for mental health stabilization.. *Psychiatric Services* 67(5), 529-535.

- 163) Swanson, J. W. (2016). On thoughts, threats, and throwing the spear. *Schizophrenia Bulletin*, 42(4), 883-884.
- 164) Elbogen, E. B., Hamer, R. M., Swanson, J. W., & Swartz, M. S. (2016). A randomized clinical trial of a money management intervention for veterans with psychiatric disabilities. *Psychiatric Services* 67(10), 1142-1145.
- 165) Swanson, J. W., Easter, M. M., Robertson, A. G., Swartz, M. S., Alanis-Hirsch, K., Moseley, D., . . . Petrila, J. (2016). Gun violence, mental illness, and laws that prohibit gun possession: evidence from two Florida counties. *Health Affairs (Project Hope)*, 35(6), 1067-1075.
- 166) Swanson J. (2016). Mental illness, release from prison, and social context. *JAMA* 316, 1771-1172.
- 167) Swartz M.S., Bhattacharya S.B., Robertson A.G., Swanson J.W. (2017). Involuntary outpatient commitment and the elusive pursuit of violence prevention: a view from the United States. *Canadian Journal of Psychiatry* 62(2), 102.
- 168) Easter, M.M., Swanson, J.W., Robertson, A.G., Moser, L., & Swartz, M.S. (2017). Facilitation of psychiatric advance directives by peers and clinicians on Assertive Community Treatment teams. *Psychiatric Services* 68:7
- 169) Swanson JW, Norko MA, Lin HJ, Alanis-Hirsch K, Frisman LK, Baranoski MV, Easter MM, Robertson MM, Swartz MS, Bonnie RJ (2017). Implementation and effectiveness of Connecticut's risk-based gun removal law: Does it prevent suicides? *Law and Contemporary Problems* 80,2, 101-128
- 170) Felthous AR, Swanson JW (2017, in press). The Constitutional limitations of prohibiting persons with mental illness from gun ownership under Tyler. *Journal of the American Academy of Psychiatry and the Law*.
- 171) Swanson, J. W. (2017). Alternative perspectives on police encounters and psychotic experiences [invited commentary on Devylder et al, "Psychotic experiences in the context of police victimization"]. *Schizophrenia Bulletin*, 43(5), 946-948.

Book Chapters, Reviews, and Others Essays

- 172) Swanson JW (1987). Uncivilized children and their discontent [Book review essay]. *Contemporary Psychiatry*, 7(3), 197-200.
- 173) Holzer CE, Goldsmith HF, Jackson DJ, and Swanson JW (1988). Indirect indicators of need for mental health services. In Goldsmith HF, Lin E, Bell RA, and Jackson DJ (Eds.), *Needs Assessment: Its Future*. DHHS Series BN No.8, Washington, D.C.: U.S. Government Printing Office. 33-46.
- 174) Holzer CE, Swanson JW, Ganju VK, Goldsmith HF, Jackson DJ (1989). Estimates of need for mental health services in Texas counties. In Bonjean CM, Coleman MT, and Iscoe I (Eds.) *Community Care of the Chronically Mentally Ill*. Austin: The University of Texas and the Hogg Foundation for Mental Health, 149-181.
- 175) Swanson JW (1990). The social environment and mortality related to mental health. In Oyemade UJ and Brandon-Monye D (Eds.), *The Ecology of Alcohol and Other Drug Use: Helping Black High-Risk Youth*. Office of Substance Abuse Prevention Monograph-7. Washington, D.C.: ADAMHA, U.S. Govt. Printing Office, 64-81.
- 176) Swanson JW (1991). A place to call homeless [Book review essay]. *Medical Humanities Review*, 5(1), 41-52.

- 177) Swanson JW (1994). Mental disorder, substance abuse, and community violence: An epidemiological approach. In Monahan J and Steadman H (Eds.), *Violence and Mental Disorder*. Chicago: University of Chicago Press, 101-136.
- 178) Holzer CE, Swanson JW, Shea BM (1995). Ethnicity, social status and psychiatric disorder: Evidence from the Epidemiologic Catchment Area Survey. In Price R, Shea B, & Mookherjee H (Eds.) *Social Psychiatry Across Perspectives*. New York: Plenum, 93-104.
- 179) Swanson JW (1998). Religion, parenting and the mental health of children and adolescents. In Noshpitz, J (General ed.), *The Handbook of Childhood and Adolescent Psychiatry*, Adams PL, (Section ed.), *Sociocultural Issues*. New York: John Wiley and Sons, 153-170.
- 180) Swartz MS, Swanson JW, Borum WR (1999). Social, epidemiological, and legal issues. In KRR Krishnan, (Ed.), *Educational Review Manual in Psychiatry*. New York: Castle Connolly Graduate Medical Publishing, 1-37.
- 181) Borum R, Swartz MS, Swanson JW (2001). Compliance with remediation attempts. In Douglas KS, Webster CD, Hart SD, Eaves D, Ogloff JRP (Eds.), *HCR-20 Violence Risk Management Companion Guide*. Burnaby, British Columbia: Mental Health, Law, and Policy Institute, Simon Fraser University.
- 182) Swartz MS, Swanson JW (2002). Involuntary Outpatient Commitment in the United States: Practice and Controversy. In Buchanan, Alec (Ed.) *Care for the Mentally Disordered Offender in the Community*. Oxford: Oxford University Press.
- 183) Hiday VA, Swartz MS, Swanson JW, Borum R (2002). Coercion in mental health care. In Backlar P and Cutler D (Eds.), *Ethics in Community Mental Health Care*. London: Gordon & Breach.
- 184) Swanson J (2003). Review of Treating Chronic and Severe Mental Disorders—A Handbook of Empirically Supported Interventions. *Journal of Psychosomatic Research* 55.
- 185) Moss K, Burris S, Swanson J (2005). Implementation of the Americans with Disabilities Act by the Equal Employment Opportunity Commission. In Livingston D. (Ed.), *EEOC Litigation and Charge Resolution*. Washington, D.C.: BNA Books.
- 186) Elbogen E, Swanson J, and Swartz M (2004). Management of violence in schizophrenia [Invited review essay for special section, Pharmacologic Trends in Behavioral Health]. *Behavioral Health Management* 24.
- 187) Swanson J and Van Dorn, R (2010). Violence in schizophrenia: Prevalence, correlates, and treatment effectiveness. In Stroup S and Lieberman J, Eds. *Antipsychotic Trials in Schizophrenia: the CATIE Project*. New York: Cambridge University Press, pp. 202-231.
- 188) Frisman LK, Swanson J, Marin M, & Leavitt-Smith E (2010). Estimating costs of reentry programs. In *Reentry Planning for Offenders With Mental Disorders: Policy And Practice* (Henry A. Dlugacz, Ed.) Kingston, NJ: Civic Research Institute, 4.1-4.
- 189) Petrila J and Swanson JW (2011). Legislating social policy: Mental illness, community, and the law. In Cohen N and Galea S, Eds. *Population Mental Health: Evidence, Policy and Public Health Practice*. New York: Routledge, pp. 139-160.
- 190) Pumariega A, Rothe EM, Swanson JW (2011). Suicidality and acculturation in Hispanic adolescents. In Sher L, Ed. *Immigration and Mental Health: Stress, Psychiatric Disorders and Suicidal Behavior among Immigrants and Refugees*. New York: Nova, pp. 57-70.
- 191) Swanson J (2011). Comments on Richard G. Frank and Thomas G. McGuire, Mental health treatment and criminal justice outcomes. In Cook PJ, Ludwig J, and McCrary J (Eds.) *Controlling Crime: Strategies and Tradeoffs*. Chicago: Univ. of Chicago Press, pp. 207-212.

- 192) Volavka J, Swanson J, Citrome L (2012). Understanding and Managing Violence in Schizophrenia. In Lieberman J and Murray R, Eds. *Comprehensive Care of Schizophrenia: A Textbook of Clinical Management (Second Edition)*. London: Oxford University Press, pp.262-290.
- 193) Swanson, J. & Ibrahim, J. (2013). Picturing public health law research: Using causal diagrams to model and test theory. In Wagenaar, A. and Burris, S. (Eds.), *Public Health Law Research: Theory and Method*. New York: John Wiley.
- 194) Swanson JW, Robertson AG, Frisman LK, Norko MA, Lin HJ, Swartz MS, Cook PJ (2013). Preventing gun violence involving people with serious mental illness. In Webster DW and Vernick JS, Eds., *Reducing Gun Violence in America: Informing Policy with Evidence and Analysis*. Baltimore: Johns Hopkins University Press, pp.33-51.
- 195) Swanson JW, Robertson AG (2014). Thinking differently about mental illness, violence risk, and gun rights. In Webster DW and Vernick JS, Eds., *Updated Evidence and Policy Developments on Reducing Gun Violence in American*. Baltimore: Johns Hopkins University Press, pp.33-51.
- 196) Swanson JW, Swartz MS, Mosely D (in press). Community psychiatric treatment under legal mandates: the US experience. In Buchanan, Alec (Ed.) *Care for the Mentally Disordered Offender in the Community, 2nd Edition*. Oxford: Oxford University Press.
- 197) Swanson JW, Swartz MS, Mosely D (in press). Understanding outpatient commitment in context: When is it ethical and how can we tell? In Buchanan, Alec (Ed.) *Care for the Mentally Disordered Offender in the Community, 2nd Edition*. Oxford: Oxford Univ. Press.

Invited editorial columns and position papers:

- 198) Swanson JW. Whose mind is it anyway? *The Los Angeles Times*, Friday, May 4, 2001.
- 199) Swanson JW (2001). Correctional mental health in North Carolina: An Expensive Non-solution. *The Journal of Common Sense*, 6(3), 3 pp.
- 200) Monahan J and Swanson J. Preventing violence is not just an American Problem. *London Observer*. April 22, 2007.
- 201) Levinson A and Swanson J. US mental health system is sick. *Seattle Post-Intelligencer*. May 2, 2007.
- 202) Swanson J and Petrilu P. Using laws to help solve the public health crisis of mental illness. *The Health Care Blog*. May 17, 2011. (Reposted in the *Wall Street Journal Health Blog*.)
- 203) Swanson, J (2012). Looking into the minds of killers. *CNN Opinion*. July 25, 2012.
- 204) Swanson, JW (2012). Good news and bad news about gun laws, mental illness and violence. *Bill of Health: A blog by the Petrie-Flom Center and friends*, Harvard Law School. <http://blogs.law.harvard.edu/billofhealth/2012/10/05/good-news-and-bad-news-about-gun-laws-mental-illness-and-violence-part-1/>
- 205) Appelbaum PS, Bonnie RJ, Swanson JW (2013). Don't arm people in a mental health crisis. The Hill's Congress Blog, *The Hill*. <http://thehill.com/blogs/congress-blog/healthcare/304263-dont-help-arm-the-mentally-ill#ixzz2ZDzU8OQw>
- 206) Swanson JW, Swartz MS (2013). Commentary: Navy Yard, guns, and mental illness. *Clinical Psychiatry News* 41(10), 1-3. [also published in *Internal Medicine New, Family Practice News, and Skin and Allergy News*]
- 207) Swanson JW, Swartz MS (2013). Commentary: Change mentality on guns. *Albany Times Union*. October 13, 2013.

- 208) Swanson JW, Swartz MS (2013). Commentary: Guns and mental illness. *Las Vegas Sun*. October 14, 2013.
- 209) Swanson JW, Swartz MS (2013). Commentary: Violent past an indicator for future. *San Antonio Express-News*, October 17, 2013
- 210) Swanson JW, Swartz MS (2013). Small pieces in gun violence puzzle. *SF Gate (San Francisco Chronicle online edition)*, November 24, 2013.
- 211) Swanson JW (2014). PADS elevator speech. *Bill of Health: A blog by the Petrie-Flom Center and friends*, Harvard Law School, February 25, 2014.
<http://blogs.law.harvard.edu/billofhealth/2014/02/25/pads-elevator-speech/>
- 212) Swanson JW and Appelbaum PS (2015). Angry and armed: a lethal combination. *CT Post*. February 27, 2015
- 213) Swanson JW, Swartz MS, Appelbaum PS, Bonnie RJ (2016). Expert testimony for Senate hearing on mental health bill before the United States Senate Judiciary Committee, in opposition to S. 2002, the Mental Health and Safe Communities Act of 2015. Prepared for hearing titled: "Breaking the Cycle: Mental Health and the Justice System." February 10th, 2016. <http://efsgv.org/featured/2016/expert-testimony-for-senate-judiciary-committee-hearing-on-mental-health-bill/>
- 214) Analysis: Worried about angry people coming to polling places on Election Day carrying handguns. *New York Times News Service; Albany Times Union; Las Vegas Sun; Arkansas Online*.

Research Monographs and Technical Reports

- 215) Morrissey JP, Swanson JW, Goldstrom I, Rudolph L, Manderscheid RW (1993). Overview of mental health services provided by state correctional facilities. *Mental Health Statistical Note No. 207*. U.S. Department of Health and Human Services, Publication No. (SMA) 93-1993, 13 pp.
- 216) Swanson JW, Morrissey JP, Goldstrom I, Rudolph L, Manderscheid RW (1993). Funding, expenditures, and staffing of mental health services in state correctional facilities. *Mental Health Statistical Note No. 208*. U.S. Department of Health and Human Services, Publication No. (SMA) 93-1994, 20 pp.
- 217) Swanson JW, Morrissey JP, Goldstrom I, Rudolph L, Manderscheid RW (1993). Demographic and diagnostic characteristics of inmates receiving mental health services in state correctional facilities. *Mental Health Statistical Note No. 209*. U.S. Department of Health and Human Services, Publication No. (SMA) 93-1995, 20 pp.
- 218) Elbogen E, Strauss JL, Swanson JW, Swartz MS, Butterfield MI (2004). Psychiatric advance directives: a primer for North Carolina mental health providers. *North Carolina Psychologist* 56(1): 1-3.
- 219) Swartz, M., Swanson, J., Steadman, H., Robbins, P., and Monahan, J. (2009). New York State Assisted Outpatient Treatment Program Evaluation. Duke University School of Medicine, Durham, NC.
http://www.omh.state.ny.us/omhweb/resources/publications/aot_program_evaluation/
- 220) Petrila P and Swanson J (2010). Mental illness, law, and a public health law research agenda. Theory, Practice and Evidence Monograph Series. Robert Wood Johnson Foundation Public Health Law Research Program.
<http://publichealthlawresearch.org/theory-practice-and-evidence/law-mental-illness-and-public-health-law-research-agenda>

- 221) Swanson, J. & Ibrahim, J. (2011). Picturing public health law research: Using causal diagrams to model and test theory. *PHLR Methods Monograph Series*. Robert Wood Johnson Foundation Public Health Law Research Program. Published online at: http://publichealthlawresearch.org/sites/default/files/Swanson%20and%20Ibrahim%20Methods%20Monograph_0.pdf
- 222) Wood JW, Swanson J, Burris S, Gilbert A (2011). Police interventions with persons affected by mental illnesses: A critical review of global thinking and practice. Monograph, Center for Behavioral Health Services & Criminal Justice Research, Rutgers University. Available at SSRN: <http://ssrn.com/abstract=1781909> or <http://dx.doi.org/10.2139/ssrn.1781909>

PROFESSIONAL REFERENCES SUPPLIED UPON REQUEST