Conflict Of Interest

Faculty-Staff Presentation

September, 2010
Conflict of interest relates to situations in which financial or other personal considerations may compromise, may involve the potential for compromising, or may have the appearance of compromising an employee’s objectivity in meeting University duties or responsibilities, including research activities.

- UNC Policy Manual
# Personal Considerations vs. University Responsibilities

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<th><strong>External Personal Considerations:</strong></th>
<th><strong>University Responsibilities:</strong></th>
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<td>Stocks</td>
<td>Write and direct research protocols (grants/contracts)</td>
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<td>Royalties/licensing</td>
<td>Publish</td>
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<td>Board of directors</td>
<td>Precept trainees</td>
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<td>Consulting</td>
<td>Supervise staff</td>
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<td>Develop and patent new technologies</td>
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<td>Family employment or business</td>
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When proposals are submitted to OSR and when IRB applications are submitted, PI and Co-Is are asked screening questions about conflicts of interest (i.e., self-identification of conflicts)

**UNC-CH Process To Identify Conflicts of Interest**
During this research, do you or a family member have or expect to have:

- A personal **financial interest/relationship** with:
  - The study sponsor?
  - An entity with the right to commercialize the product/technology in this project?
  - An entity who is a subcontractor, sub-recipient or vendor in this project?

- A **board membership** with the study sponsor or entity that owns or has the right to commercialize a product, process or technology studied in this project?

Has the **University/University-related foundation** received a cash or in-kind gift from:

- The sponsor of this study for the use or benefit of you, your research, or a member of your research group?

- An entity that owns/has the right to commercialize a product, process, or technology studied in this project for the use or benefit of you, your research, or a member of your research group?
Sherri Settle (UNC Office of Research Compliance) emails additional COI questions to the faculty member and reviews their responses...If she decides it may be a conflict...

All information is reviewed by the School’s/College’s COI Committee...If they establish that it is a conflict...

School/College’s COI Committee determines if the conflict is manageable (project can go forward under specific conditions)...If it is manageable...

School/College’s COI Committee works with faculty member to put into place a management plan which may include disclosure procedures and a monitoring committee

Sherri Settle notifies funder that a management plan has been put into place, and then research funds are released
Monthly Meetings

Committee Members

**Associate Dean for Research**
Sandra L. Martin (ex officio)

**Research Council (includes the Committee Chair)**
Diane M. Calleson (PHLP)
Edwin B. Fisher (HBHE)
Penny Gordon-Larsen (NUTR)
Jon Hussey (MHCH)
Danyu Lin (BIOS)
Stephen W. Marshall (EPID)
Leena A. Nylander-French (ESE) – Chair of the COI Committee
R. Gary Rozier (HPM)

**Leadership Team Member**
Charlotte Nuñez-Wolff (Associate Dean for Business & Finance)

**UNC Research Compliance Program (ex officio)**
Sherrie Settle

**Assistant Director for the Office of Research (staff liaison)**
Tracy C. Kennedy
Additional COI information is available at:

http://www.sph.unc.edu/research/sph_conflict_of_interest_committee_15717_11093.html

and

https://cfx3.research.unc.edu/coi

Thanks!