SPH Finances
- 2011 Summary
- Financial Trends

Allocation Model
Total funding sources trending **up**.

Majority of growth in contracts & grants administered by the School.

Other sources remained flat or decreased, including state allocations.

Exception was FY11 overhead allocation--increased significantly due to building debt payoff.
3 YEARS OF STATE BUDGET CUTS

21% LOSS OF FY08 STATE OPERATING BASE

- 7% of faculty positions
- 27% of teaching assistantships
- 36% central support staff
# SPH FINANCIAL SUMMARY

<table>
<thead>
<tr>
<th>Sources</th>
<th>FY10</th>
<th>FY11</th>
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<tbody>
<tr>
<td>State Appropriation</td>
<td>$27.3</td>
<td>$25.6</td>
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<tr>
<td>Receipts</td>
<td>$1.8</td>
<td>$1.8</td>
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<tr>
<td>Indirect Cost Recovery- Allocated to the School</td>
<td>$11.1</td>
<td>$17.1</td>
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<tr>
<td>Contracts &amp; Grants- Direct Support Administered by School</td>
<td>$64.4</td>
<td>$66.9</td>
</tr>
<tr>
<td>Trusts - Restricted</td>
<td>$14.2</td>
<td>$13.9</td>
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<tr>
<td>Trusts - Unrestricted</td>
<td>$5.2</td>
<td>$5.7</td>
</tr>
<tr>
<td>Services &amp; Other</td>
<td>$3.2</td>
<td>$3.6</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$127.3</strong></td>
<td><strong>$134.5</strong></td>
</tr>
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<table>
<thead>
<tr>
<th>Uses</th>
<th></th>
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<tbody>
<tr>
<td>Compensation</td>
<td>$66.5</td>
<td>$67.7</td>
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<tr>
<td>Consulting &amp; Contractual Services</td>
<td>$1.8</td>
<td>$1.3</td>
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<tr>
<td>Student Aid and Grants</td>
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<td>$6.0</td>
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<tr>
<td>Other Grants</td>
<td>$18.4</td>
<td>$17.6</td>
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<tr>
<td>Operations</td>
<td>$14.8</td>
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<tr>
<td>Capital</td>
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<td>$1.1</td>
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<td>Restricted Trusts Carry-forward</td>
<td>$8.4</td>
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<td>Reserves -Restricted &amp; Unrestricted for Future Commitments</td>
<td>$11.2</td>
<td>$9.0</td>
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<tr>
<td><em>(within Departments and Central Units)</em></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$127.3</strong></td>
<td><strong>$134.5</strong></td>
</tr>
</tbody>
</table>

Public Health Foundation assets are only included if transferred to the University for use. $306,705 of operational expenditures paid directly from the Public Health Foundation are not included.
RESEARCH AWARDS CHANGE

Awards Change from FY2010

- BIOS: -33% (-$9.6M)
- PHLP & NCIPH: 36% ($2.8M)
- NUTR: 20% ($4.7M)
- MCH: 34% ($11.0M)
- HPM: 50% ($2.6M)
- HBHE: 10% ($0.7M)
- ESE: 13% ($1.3M)
- EPID: 29% ($7.5M)
- OTHER: 97% ($1.4M)

-40% -20% 0% 20% 40% 60% 80% 100%
ENROLLMENT CHANGE

Enrollment FTE Change from FY2010

- PHLIP/NCIPPH: -17%
- HPM: -8%
- BIOS: -5%
- NUTR: 15%
- MCH: 12%
- HBHE: 18%
- EPID: 21%
- ENVR: 14%
- PHLP/NCIPH: 9%

(43) (30) (7)
State Budget Allocation Model
State budget allocation within SPH had not been changed significantly for 10 years.

Half of our academic units have disparities between their percentage of state budget and their student enrollment.

Until FY07, Distance Education (DE) program receipts created a revenue buffer for departments with most enrollment (HPM, PHLP).

ESE conversion to 9-month faculty in FY03 committed an additional $1.05 million of permanent budget directly to the department.
The Provost’s Office does not use a formulaic approach to allocate state support to SPH.

Important considerations for the allocation are:

- School’s enrollment changes over the past 2 years
  - FTE as the basis for evaluating enrollment changes
  - Graduate versus undergraduates
  - NC residents versus non-residents
  - Teaching effort as measured by taught student credit hours

- SPH’s % enrollment change compared to other schools
- SPH’s resource base compared to other schools
- SPH’s alignment with University’s mission
VERY DIFFERENT DEPARTMENTS/UNITS

- 4 have majority masters (>60%)
- 2 have majority doctoral (>60%)
- 2 split evenly -- doctoral and masters
- 4 depts have undergrads
  - <25% of total dept enrollment

- 1 has all four types of student enrollment, focused on masters students (60%).
- 1 has only masters and certificate students.
SPH ALLOCATION MODEL GUIDING PRINCIPLES

- Understandable & Replicable
- Responsive
- Recognize prior agreements with Provost’s Office
- Fair
- Provides resources for new initiatives
- Consistent with UNC allocation
- Rational & Predictable
- Accommodates fluctuation
- Transparent
- Provides sufficient funds for central services
- Aligns with mission—of University and School
- Reasonable implementation timeline
SPH ALLOCATION MODEL COMPONENTS

- **Enrollment & Teaching Measures**
  - TSCH (Taught Student Credit Hours)
  - FTE (Enrolled student full time equivalents)

- **Weights By Degree Type**
  - Doctoral
  - Masters
  - Undergraduate
  - Certificate

- **Value Equivalent Ratios**
  - Derived from degree type weightings, FTE, TSCH

- **Adjustments**
  - Strategic allocation for School initiatives
  - Manual adjustments after formula

- **Implementation factor**
  - Spreads results over multiple years to ensure *gradual change*

- **$**
  - Permanent state budget change to each department at ~1% to 2% a year
Enrolled Student Full Time Equivalents (FTE)

Taught Student Credit Hours (TSCH)

Bubble Size = State Budget

TSCH has been estimated for Spring 2012 @ 90% Fall 2011 figures and Summer term @ 100% Summer 2011
Thank You
Questions???